

Convective and wave signatures in ozone profiles over the equatorial Americas: Views from TC4 (2007) and SHADOZ

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37 **Convective and wave signatures in ozone profiles over the equatorial**
38 **Americas: Views from TC4 (2007) and SHADOZ**

39 **Abstract.** During the TC4 (Tropical Composition, Clouds and Climate Coupling)
40 aircraft and ground campaign in July-August 2007, daily ozonesondes were launched
41 over coastal Panamá, at Las Tablas (LTP, 8N, 80W), 300 km SW of Panamá City, and
42 several times per week at Alajuela, Costa Rica (ACR, 10N, 84W). Wave activity, detected
43 most prominently in 100-300 m thick O₃ laminae within the TTL (tropical tropopause
44 layer), occurred in 40% (LTP) and 50% (ACR) of the soundings. These layers,
45 associated with vertical displacements and classified as gravity waves (“GW,” probably
46 Kelvin waves) by the laminar identification method of *Grant et al.* [1998] and
47 *Thompson et al.* [2007a], occur with similar structure and frequency over the
48 Paramaribo (6N, 55W) and San Cristóbal (1S, 90W) SHADOZ (Southern Hemisphere
49 Additional Ozonesondes) sites. GW-labeled laminae in individual LTP and ACR
50 soundings correspond to cloud outflow (indicated by DC-8 tracers, TC4 satellite and
51 aircraft imagery), confirming convective initiation of equatorial waves. Layers
52 representing quasi-horizontal displacements, referred to as Rossby waves (RW) by the
53 laminar technique, are robust features, particularly in soundings from 23 July to 5
54 August over LTP. The features associated with RW correspond to stratospheric
55 influence, confirmed by relative dryness and/or reduced CO, and sometimes to
56 transport of pollution. Comparison of LTP and ACR ozone budgets with 1999-2007
57 June-July-August (JJA) Paramaribo and San Cristóbal soundings shows that TC4 is
58 typical of climatology for the equatorial Americas. Overall during TC4, convection and
59 associated waves appear to dominate ozone transport in the TTL but intrusions from the
60 extra-tropics persist throughout the free troposphere.

61 **1. Introduction**

62 Ozone in the tropical troposphere reflects an interaction of photochemical and
63 dynamical factors. The marine atmosphere is usually unpolluted, largely because the
64 boundary layer (BL) is a region of photochemical loss [*Piotrowicz et al.*, 1991]. This is a
65 consequence of slow formation (low NO_x conditions; *McFarland et al.*, 1979;
66 *Thompson et al.*, 1993) or, in exceptional cases, rapid loss from active halogens [*Read*
67 *et al.*, 2008]. In the mid- and upper troposphere (UT) mixed sources converge
68 [*Thompson et al.*, 1996]. Pollution near and far, stratospherically influenced air, and
69 lightning add to O₃ formation, the latter at rates according to time since the lightning
70 episode (*Thompson et al.*, 1997; see *Cooper et al.* [2006] and *Bertram et al.* [2007] for
71 analyses of lightning influence in mid-latitude convection). Ozone from the extra-
72 tropics may enrich free tropospheric ozone as well [*Randel et al.*, 2007].

73 Examination of ozone profiles from sondes or aircraft over remote tropical sites
74 reveals the free troposphere (FT) as a region of low O₃ (< 30 ppbv; *Thompson et al.*,
75 2003a) alternating with layers of elevated O₃ (sometimes > 100 ppbv; *Newell et al.*,
76 1999). From a climatology of O₃ and P-T-U soundings taken through SHADOZ
77 [*Thompson et al.*, 2003a,b; *Loucks*, 2007], SOWER [Stratospheric Ozone and Water in
78 Equatorial Regions; *Hasebe et al.*, 2007; *Takashima and Shiotani*, 2007] and related
79 campaigns, the structure of the tropical UT and lower stratosphere (LS) has been
80 deduced. Individual pollution layers in the FT are observed at Réunion, Fiji, Samoa,
81 San Cristóbal, and Ascension [*Thompson et al.*, 2003b; *Oltmans et al.*, 2001; 2004;
82 *Randriambelo et al.*, 2003]. Reduced O₃ layers often characterize the UT and TTL
83 (tropical tropopause layer; *Fuglistaeler et al.*, 2009), typically from 8-14 km where
84 convective outflow of low-O₃ BL air takes place. If O₃ in the UT and TTL averages to

85 lower concentrations than in the mid-troposphere, an “S-shape” profile results [*Folkins*
86 *et al.*, 2000], a distinct pattern over the western Pacific and eastern Indian Oceans.

87 The laminar identification (LID) method, based on the relationship of O₃ and
88 potential temperature gradients (*Teitelbaum et al.*, 1994; *Pierce and Grant*, 1998;
89 *Thompson et al.*, 2007a; 2008), interprets persistent O₃ layers in terms of two general
90 wave-types. In the tropics, Rossby waves (RW) represent horizontal displacement;
91 these tend to correlate with filaments of extra-tropical air or with pollution from long-
92 range transport. When the SHADOZ dataset (> 3700 profiles) is analyzed with the LID
93 technique, RW signatures are found to be present in < 20% of the soundings [*Loucks*,
94 *2007; Thompson et al.*, 2009]. However, in the TTL, signatures of convectively-
95 generated gravity waves (GW) occur in 40-90% of the SHADOZ sondes, depending on
96 location and season [*Thompson et al.*, 2009]. Near the tropopause, GW are usually
97 identified with Kelvin waves. Transient Kelvin waves associated with O₃ have been
98 observed in sondes [*Fujiwara et al.*, 1998; 2001] over the western and eastern Pacific.

99 Wave activity over the eastern Pacific and central America has received less
100 attention. Robust GW and RW signals were noted during the Milagro/INTEX-B/IONS-
101 06 (Intercontinental Transport Experiment; INTEX Ozonesonde Network Study)
102 campaigns over Mexico City (19N, 99W) and Houston (30N, 95W) in March-May 2006,
103 two locations [*Thompson et al.*, 2008] that are essentially sub-tropical when northern
104 hemisphere spring flows link them to central America [*Fast et al.*, 2007].

105 The TC4 (Tropical Composition, Cloud, and Climate Coupling) mission in July-
106 August 2007 offered an opportunity to characterize O₃ profiles closer to the equator
107 than the IONS-06 soundings associated with INTEX-B/Milagro. TC4 [*Toon et al.*,
108 2009] investigated mechanisms of tropical convection, chemical transformation in
109 convective systems and the impacts of deep convection on constituent transport,

110 dehydration and cirrus formation. Aircraft sampling from San Jose, Costa Rica (10N,
111 84W), with three NASA platforms, the DC-8, WB-57 and ER-2, was well-suited for
112 comparisons with ozonesonde-radiosonde profiles and with an instrumented ground
113 site near the Panamá Bight. Most flights were south of the Intertropical Convergence
114 Zone (ITCZ), which was located at 12-13N during the experiment [Toon *et al.*, 2009].
115 Regular SHADOZ [Thompson *et al.*, 2003a] sonde launches from Costa Rican
116 (Alajuela, 10N, 84W, referred to here as ACR) were augmented during TC4 and daily
117 soundings were made over Las Tablas, Panamá (LTP, 7.8N, 80W) from the NATIVE
118 (Nittany Atmospheric Trailer and Integrated Validation Experiment) sampling system.

119 In this paper, we first describe mean properties of free tropospheric (FT) and LS
120 | O₃ over ACR and LTP during TC4 (**Section 2**). Second, O₃ budgets based on LID and
121 expressed as column amounts affected by GW and RW, provide a consistent framework
122 | for examining dynamic influences within the sonde profiles (**Section 3.1**). Third, case
123 studies of sondes and ancillary aircraft, radar and satellite measurements are used to
124 corroborate wave designations, with convection for GW or stratospheric influences or
125 | pollution for RW (**Section 3.2**). In **Section 3.3** context for the TC4 observations is
126 given by June-July-August (JJA) Costa Rican sondes in 2006, and a 9-year record of
127 sondes from SHADOZ launches [Thompson *et al.*, 2003a,b] at Paramaribo (5.8N, 55W)
128 and San Cristóbal (1S, 90W). We address the following questions:

- 129 > How does FT O₃ over LTP and ACR in 2007 compare to JJA O₃ over Costa
130 Rica in 2005 and 2006? How do LTP and ACR O₃ during TC4 compare to
131 O₃ over the Paramaribo and San Cristóbal SHADOZ sites in 2007?
- 132 > How does 2007 JJA ozone at Paramaribo and San Cristóbal compare to other
133 years for which soundings are available at these sites (1999 - 2006)?

134 **2. Experimental. Observations and Methods of Analysis.**

135 2.1 Ground & Aircraft

136 Continuous surface O₃ measurements at Las Tablas (8N, 80W) were made
137 during the period 13 July to 8 August 2007 with a TECO Model 49 C ozone analyzer.
138 Carbon monoxide (TECO Model 48CTL), NO and NO_y (TECO Model 42CY) and SO₂
139 (TECO Model 43C-TLE) were also measured, along with particle size distribution
140 (SMPS, Scanning Mobility Particle Sizer). All measurements can be viewed at
141 <<http://ozone.met.psu.edu/NATIVE/TC4.html>>. Calibrations of O₃, CO, and SO₂ were
142 made prior to and directly after the campaign with instrument grade gases (Airgas,
143 Inc.). Calibration of NO and NO_y was performed daily with instrument grade NO
144 (Airgas, Inc.). Catalytic conversion efficiency was tested before and after the campaign
145 and remained close to 100%.

146 2.2 Ozone Profiles and P-T-U Profiles

147 All ozone profile data analyzed here were taken with electrochemical
148 concentration cell (ECC) instruments coupled with standard radiosondes, as described
149 in *Thompson et al.* [2003; 2007a]. The locations of Las Tablas and the SHADOZ sites
150 referred to here appear in **Table 1**. At Las Tablas, a 0.5% KI buffered solution was
151 used with ENSCI ozonesondes, a combination that optimizes the O₃ measurement
152 [*Smit et al.*, 2007; *Thompson et al.*, 2007b; *Deshler et al.*, 2008]. Vertical resolution is
153 effectively 50-100 m [*Smit et al.*, 2007], sufficient to detect stable layers of locally
154 elevated (or suppressed) O₃. Vaisala radiosondes, Model RS-80, were used to collect P-
155 T-U (pressure-temperature-humidity) data. For nine of the 25 LTP sondes during TC4
156 the humidity data are unreliable above ~300-500 hPa due to suspected sensor icing.
157 Ozone profiles from LTP are viewable at <http://ozone.met.psu.edu/Panama_Data/

158 [index.html](#)>. Although each ozonesonde is internally calibrated prior to launch, the
159 LTP sondes were routinely compared to the TECO O₃ for 5-10 minutes prior to launch;
160 agreement is within the stated precision of each technique (5%; see Figure 1 in *Morris*
161 *et al.*, 2009).

162 Ozone over Alajuela was measured with ENSCI ECC sondes with 1% KI with
163 reduced (0.1%) buffer; RS-80 radiosondes with a cryogenic frost-point hygrometer
164 were used for P-T-U. At both sites, most launches took place in early afternoon local
165 time to capture Aura [*Schoeberl et al.*, 2006], Aqua and CALIPSO satellite overpass
166 [*Toon et al.*, 2009]. At Paramaribo, ozone is measured with SPC (Science Pump
167 Corporation) ECC sensors, using 1% fully buffered sensing solutions in tandem with
168 RS-80 radio-sondes to 2005 and RS-92 radiosondes thereafter [*Peters et al.*, 2003;
169 *Fortuin et al.*, 2006]. Relative to total ozone from the TOMS (Total Ozone Mapping
170 Spectrometer) and OMI (Ozone Monitoring Instrument) satellites, total O₃ from the
171 sondes over Paramaribo is ~10% higher. This effect is greatest in the stratosphere
172 (Figure 6 in *Thompson et al.*, 2007b) and has little impact on analyses of profiles below
173 20 km (~70 hPa). For San Cristóbal, SPC type ECC sondes are used with 1% KI/0.1%
174 buffered solutions after 2006. Until then, 2% unbuffered KI sensing solutions were
175 employed [*Johnson et al.*, 2002; *Thompson et al.*, 2007b] with Vaisala RS80
176 radiosondes. Total O₃ over San Cristóbal is ~7% lower than satellite total O₃ during the
177 SHADOZ period [*Thompson et al.*, 2007b]. This typifies Pacific and Indian Ocean sites
178 where tropospheric O₃ is lower than the algorithm used in TOMS (to 2005) and OMI
179 (2005 onward) retrievals. Images and data for all Costa Rican (late 2005 start),
180 Paramaribo (1999-present) and San Cristóbal (1999-present) ozonesonde and P-T-U
181 profiles are available at <<http://croc.gsfc.nasa.gov/shadoz>> and at the World Ozone
182 and Ultraviolet Data Centre, <<http://woudc.org>>.

183 Aircraft data used most often in analysis of the soundings are: O₃ from the
184 FASTOZ *in-situ* instrument [Avery *et al.*, 2009], uv-DIAL and CO on the DC-8; the
185 Cloud Physics Lidar (CPL) and Cloud Radar System [McGill *et al.*, 2004; Hlavka *et al.*,
186 2009]. Regional cloud and convective information comes from meteorological
187 analyses and GOES imagery, as archived by Toon *et al.* [2009].

188 2.3 Ancillary Data

189 As for IONS-04 and IONS-06 [Thompson *et al.*, 2007a; Thompson *et al.*, 2008],
190 tracers for O₃ origins include: (1) RH from the radiosonde PTU profiles; (2) Ertel's
191 potential vorticity (pv; 1 pvu = 10⁻⁶ m²s⁻¹/K) computed from the Goddard Earth
192 Observing System Assimilation Model (GEOS-version 4; Bloom *et al.*, 2005); (3)
193 forward and backward air-parcel trajectories for each launch location and date,
194 calculated with the kinematic version of the GSFC trajectory model [Schoeberl and
195 Sparling, 1995] using GEOS meteorological fields at a 1x1-degree grid. Lightning and
196 lightning-exposure images are also used to describe potential O₃ influences, as are
197 absorbing aerosol data from OMI, trajectory-enhanced aerosol-exposure images and
198 OMI NO₂ amounts. All back-trajectories in the TC4 region, aerosol and lightning data
199 and trajectory-mapped exposure products are at the GSFC TC4 website:
200 <<http://croc.gsfc.nasa.gov/tc4>>.

201 The lightning data provided by NASA/MSFC are based on the CRLDN (Costa
202 Rican Lightning Detection Network). The WWLLN (Worldwide Lightning Location
203 Network) data [Rodger *et al.*, 2006] were obtained by NASA/GSFC during TC4. The
204 CRLDN detection efficiencies for total lightning (cloud and ground flashes) decline
205 with distance from Costa Rica and are estimated at ~40% over LTP based on
206 comparison with TRMM/LIS (Tropical Rainfall Measuring Mission/Lightning Imaging
207 Sounder) overpass data. The WWLLN has more even coverage but its detection

208 efficiency for total flashes is estimated at 25% on average based on TRMM/LIS and
209 CRLDN comparisons (see TC4 website; *Bucsela et al.*, 2009) but with considerable
210 uncertainty. Because lightning data use here is qualitative, ie to link sources with O₃
211 laminae through trajectories, uncertainties in detection do not detract from our
212 interpretations. In selected case studies, additional trajectories were run with the
213 NOAA Hysplit model (*Draxler and Rolph*, 2003).

214 2.4 Analysis for Wave Influences

215 In the LID (Laminar Identification; *Thompson et al.*, 2007a; 2008) technique,
216 O₃ and potential temperature (θ) laminae, as described in *Teitelbaum et al.* [1994;
217 1996] and *Pierce and Grant* [1998] are used to identify signatures of RW or GW; a
218 | schematic appears in **Figure 1**. Two analyses are performed with the LID results.
219 First, for each sounding, the contributions of RW and GW above the boundary layer
220 (BL) to the tropopause (for FT contributions) or to 20 km (for FT and LS, including the
221 TTL) are computed by integrating the amount of O₃ within a given layer and adding up
222 all the RW and GW segments. Dobson Units (DU) are used; one DU = 2.69 x 10¹⁶ cm⁻².
223 The amount of O₃ within the FT or FT-TTL-LS column not identified with RW or GW is
224 labeled “other” in the budgets. The BL top is determined by taking the most negative
225 second derivative of θ between 0.4 and 2.5 km [*Yorks et al.*, 2009]. Mean BL heights
226 are Panamá, 1.4 km; Costa Rica, 1.9 km (above a 0.95 km surface); Paramaribo, 1.4 km;
227 San Cristóbal, 1.0 km. Second, for an ensemble of soundings, wave frequencies at a
228 given altitude are calculated from the percentage of soundings within the sample set
229 that have laminae with the RW or GW designation.

230 | For determination of FT LID O₃ budgets (**Section 3**), a chemical “ozonopause”
231 | is employed (white line, **Figure 2**; cf *Browell et al.*, 1996; *Stajner et al.*, 2008).
232 *Thompson et al.* [2007a] showed that FT O₃ columns can differ significantly under
233 certain conditions, depending on the use of O₃ or thermal tropopause. Such

234 occurrences are infrequent in mid-latitudes (< 10% in IONS-04 or IONS-06 soundings;
235 *Dougherty, 2008*) and are assumed negligible at the tropical sites studied here.

236 **3. Results and Discussion: July-August 2007**

237 **3.1. Overview of Ozone Profiles over LTP and ACR**

238 From curtains of ozone mixing ratio over Las Tablas and Alajuela (**Figure 2**)
239 the following features emerge: (1) The BL is higher over ACR than LTP although
240 approximately 10% more O₃ is found in the LTP BL than ACR due to pollution from
241 Panamá City and occasionally from South American biomass burning. (2) The TTL
242 extends lower over LTP than over ACR; at LTP the TTL is lowest on 26-28 July.

243 There appear to be more episodes of high convection (blue shade, 12-14 km) over
244 ACR than LTP; the latter may be biased by more samples. Consequently, there is lower
245 O₃ in the ACR mean O₃ profile between 11-14 km (**Figure 3a**) than LTP (**Figure 3b**),
246 giving a more distinct “S” shape to the ACR O₃ profile, similar to profiles over Pacific
247 SHADOZ sites (*Folkins et al., 2000; 2006; cf Kley et al., [1996]* and UT O₃ minima in
248 TC4 discussed by *Petropavloskikh et al. [2009]*). The O₃ maximum is at 8-9 km over
249 LTP and ACR. Ozone soundings during IONS-06 (<[http://croc.gsfc.nasa.gov/
250 intexb/ions06](http://croc.gsfc.nasa.gov/intexb/ions06)>) August 2006 sampling over Mexico City (19N, 99W), during the North
251 American monsoon, also detected the highest UT O₃ at 8-9 km with an O₃ minimum at
252 12-14 km (*Thompson et al., 2008; Fig 5*). Note that during DC-8 spirals in TC4,
253 FASTOZ [*Avery et al., 2009*] ozone profiles showed the characteristic S-shape. At the
254 8-11 km region, where O₃ was suppressed, owing to clean BL origins, the CO was
255 sometimes enhanced [*Avery et al., 2009*], denoting convective transport from below.

256 Compared to ACR (**Figure 2a**), mid-tropospheric ozone (4-12 km) is higher
257 over LTP (**Figure 2b**), signified by more greens and golds below 6 km, especially
258 during the period from 24-29 July 2007. This includes the period of lowest LTP

259 | tropopause (see **Section 3.2.2** for the correspondence of the elevated FT O₃ to
260 | stratospheric influence and discussion of surface O₃ and CO at that time).

261 | **Figure 4a** shows that the O₃ labeled GW is concentrated in the TTL and LS over
262 | both LTP and ACR and the corresponding frequencies are similar to those at the
263 | Paramaribo and San Cristóbal SHADOZ sites (**Figure 4b**). The latter depicts wave
264 | frequencies averaged for 1999-2007, which are nearly identical to June-July-August
265 | statistics. The wave structure in **Figures 4a,b** compares well to other SHADOZ
266 | tropical (*Loucks, 2007; Thompson et al., 2009*) and NH subtropical locations (Figure 4
267 | in *Thompson et al., 2008*). It was inferred from LTP and ACR O₃ curtains (**Figure 2**)
268 | and mean profiles (**Figure 3**) that convective activity may be higher during TC4 over
269 | ACR than LTP. Assuming that GW frequency scales with convection, this would
270 | account for higher GW frequency in the TTL and LS over ACR than LTP (**Figure 4a**).

271 | For Panamá, laminae amplitude is 10-20% (**not shown**), with values > 15%
272 | occurring below 3 km. Where GW is 15% at 2 km, the process represented may be
273 | convective mixing near the top of the BL. At a second GW frequency maximum over
274 | ACR, 6 km, convective cloud outflow may be taking place. FT O₃ associated with GW
275 | over LTP and ACR is approximately 15% of the FT column (**Table 2**). For LTP, RW
276 | occurs as frequently in the FT below 10 km as in the TTL and LS (**Figure 4a**; cf **Figure**
277 | **1**). In about half the days with soundings at both ACR and LTP, LTP O₃ in the 3-6 km
278 | region is 40-50 ppbv in contrast to ~30 ppbv over ACR (cf **Figure 3** mean O₃ profiles).

279 | In **Figure 5a**, where data from days with dual LTP and ACR launches are
280 | illustrated, O₃ budgets through the FT, TTL and LS to 20 km as determined from the
281 | LID method [*Thompson et al., 2008; Yorks et al., 2009*] show ACR to have less O₃.
282 | The mean O₃ column to 20 km is 42 DU over LTP (tropospheric O₃ budgets for all LTP
283 | and ACR soundings appear in **Figures 5b,c**), with the FT O₃ column averaging ~28

284 DU (**Table 2**). The tropospheric O₃ column (~19 DU, on average) fractions of ozone to
285 20 km are similar for ACR and LTP.

286 **3.2 Case Studies with Convective and Wave Influence**

287 Days with coincident launches at LTP and ACR (**Figure 5a; Table 3**) offer an
288 opportunity to compare ozone profiles at the two sites and to use TC4 aircraft data,
289 satellite imagery and meteorological products to interpret convective and wave
290 influences. All of the dates listed in **Table 3** display convective signatures as denoted
291 by a substantial amount of ozone affected by GW, typically > 10 DU of the O₃ column
292 in the FT and stratosphere to 20 km. In some cases convection appears to influence
293 principally the TTL and in other cases the lower or middle FT (**cf Figure 1**).

294 The TC4 period started with active convection over the Costa Rica-Panama
295 region and the adjacent Pacific. This is corroborated by satellite and aircraft imagery in
296 flights through 22 July 2007 [*Toon et al.*, 2009]. From 23 July through 2 August,
297 mean integrated O₃ segments designated GW declined in most soundings (**Figure 5b**),
298 as the GW-affected region retreated from the upper FT to above 17 km. During this
299 time the amount of column O₃ affected by RW increased compared to the pre-24 July
300 period. More convective conditions returned after 2 August 2007, when targeted
301 sampling by the ER-2, DC-8 and WB-57 took place [*Toon et al.*, 2009]. In **Section**
302 **3.2.1** we examine profiles on days for which GW denotes high convective activity at
303 ACR and/or LTP. Verification of convective influence within O₃ and RH profile
304 segments are typically made with tracer measurements from nearby aircraft sampling
305 or with aircraft or satellite cloud imagery. Selected soundings with relatively high RW
306 and low GW are examined (**Section 3.2.2**) to verify stratospheric influence or
307 advection of pollution.

308 3.2.1 Cases with Elevated GW (Convectively-Generated)

309 | **Figure 6** depicts sonde temperature, RH and ozone for the LTP and ACR pairs
310 | on July days in which one or both sites display convective signature within the FT
311 | segment of the profiles (13, 19, and 22 July) and there was aircraft sampling (**Table 3**).

312 | **13 July.** Convective activity at LTP and ACR, as given by GW amount to 20 km,
313 | is similar (**Figure 5a**). The fraction of FT ozone influenced by GW is similar in both
314 | cases (~20%; **Figures 5b,c**). Over ACR the O₃ concentration averages ~40 ppbv from
315 | the surface to the tropopause, which is ~14-15 km (**Figure 6a**). The tropopause is also
316 | ~14 km over LTP, where the BL O₃ (20 ppbv) is less than over ACR. Ozone in the UT
317 | over LTP was greater than 50 ppbv. The RH profiles have considerable structure over
318 | both sites, with moister air over LTP than ACR. Evidence for convection over ACR
319 | comes from the DC-8 flight from California to San Jose, Costa Rica, on 13 July 2007.
320 | The last 100-150 km of the flight encompassed a descent near ACR at ~2100 UT time,
321 | after the aircraft had crossed the ITCZ. The DC-8 uv-DIAL image of O₃ (**Figure 7a**)
322 | captures the morphology of convective impact throughout the FT and TTL. North of
323 | the ITCZ (northern edge at 13N), the FT was penetrated by pollution O₃ (>80 ppbv) and
324 | aerosols traced to biomass fires interacting with convection. South of the ITCZ, just
325 | before descent, FT O₃ dropped to 40-50 ppbv, except for a localized O₃ minimum (< 30
326 | ppbv) around 10 km (**Figure 7a**), similar to FT O₃ structure over ACR (**Figure 6a**),
327 | and to the DC-8 FASTOZ O₃ during descent. The uv-DIAL aerosols (not shown)
328 | indicate a “clean” FT south of the ITCZ except for a thin cirrus layer at the tropopause,
329 | consistent with the soundings. Convective indicators, elevated methyl-hydrogen
330 | peroxide, lightning NO, ultrafine particles and CO (not shown; see flight report at
331 | <http://espo.nasa.gov/tc4/docs>), penetrated south of the ITCZ. Inter-hemispheric
332 | transport from convective outflow is well known over the Atlantic [*Jonquière et al.*,
333 | 1998; *Thompson et al.*, 2000; *Edwards et al.*, 2003].

334 **19 July.** LTP and ACR budgets contrasted on this date, with more intense
335 convective activity implied by the high GW fraction over LTP (**Figure 5a**). Even |
336 though the O₃ profiles do not look very different (**Figure 6b**), interpreting the subtle |
337 differences between the two sets of profiles illustrates both the capabilities and
338 limitations of the LID. For example, there is no O₃ with a GW signature over ACR,
339 implying little convection. This was verified by the 19 July ER-2 flight that sampled
340 convective activity W-SW of ACR but found no cells nearby nor upwind (refer to
341 <<http://cpl.gsfc.nasa.gov>> and to Figure 9 in *Toon et al.* [2009] for flight tracks and to
342 *Hlavka et al.* [2009] for cloud and convective cell imagery from the CPL and Cloud
343 Radar System [CRS). More than half of ACR FT O₃ is categorized as RW (**Figure 5c**). |
344 The corresponding segment, from ~4-11 km, is labeled in **Figure 6b**. If the RW |
345 designation implies advection of dehydrated filaments, that process could give rise to
346 the thin low-RH laminae at 6, 7, and 8 km. For LTP, only from 10-15 km does the RW
347 designation apply. This is consistent with a locally dry, high-O₃ layer (to 90 ppbv) from
348 ~9-11 km in **Figure 6b**. However, at ~12-12.5 km, ie within the 10-15 km RW segment |
349 over LTP, O₃ is a minimum (drops to 70 ppbv) and RH increases. This thin layer
350 corresponds to cloud outflow as indicated by GOES imagery (**Figure 7b**); the cloud- |
351 top temperature in the image corresponds to ~12 km. Over LTP, convection leads to
352 GW throughout the TTL, above 15 km. Thus, ~40% of the FT and LS O₃ to 20 km, 18
353 DU, is designated GW. Only 3-4 DU of GW O₃ is in the FT. **Figure 7b** shows that |
354 clouds do not appear over ACR. Contrast in convective properties is also implied by
355 OMI NO₂ (**Figure 7c**) where a signal appears over LTP but not ACR, suggesting |
356 lightning (that produces NO that is in equilibrium with NO₂) is near LTP.

357 **22 July.** This is another day in which there is more O₃ identified as GW,
358 perhaps implying more convection, over LTP than ACR (**Figure 5a-c**). As for 19 July, |
359 most of the GW O₃ is concentrated in the TTL (very little FT GW O₃ is indicated in

360 | **Figure 7c**). The ozone column amount over ACR on 22 July is lower than on 19 July
361 | because mid-FT O₃ averages 20 ppbv less (cf **Figures 6b,c**). The convective contrast
362 | between ACR and LTP is confirmed by satellite imagery (see ER-2 flight report for 22
363 | July 07 at <<http://espo.nasa.gov/tc4/docs>>). GOES at 1600 UTC, during the ACR
364 | sounding, displays no convection near ACR. The ER-2 sampled near LTP on 22 July,
365 | where cloud and precipitation CPL-CRS imagery (**Figure 7c**) depicts streaming cirrus
366 | at 12.5-13 km with ~13.5-14 km cloud top. The LID wave structure for the LTP
367 | sounding suggests GW above 14 km, right above a localized O₃ minimum (black profile,
368 | **Figure 6c**), consistent with cloud structure observed by the ER-2 (**Figure 7c**).

369 | **3 August.** The 20-km O₃ budgets over ACR and LTP represent a slight contrast
370 | (**Figure 5a**) but the magnitude of the FT GW and RW segments are nearly the same
371 | (**Figures 5b,c**). The soundings themselves (**Figure 8a**) suggest a contrast in
372 | convective influence. Over ACR, O₃ mixing ratios above 6 km increased steadily,
373 | averaging > 80 ppbv in the 6-13 km segment (**Figure 8a**), even though there is a GW
374 | segment over ACR at 9-12 km. Above 8 km, the RH drops off sharply, suggesting
375 | stratospheric air. A mostly RW segment corresponds to 13-17 km, bracketing the
376 | ozonopause, which is 2 km lower over ACR than over LTP (**Figure 2**).

377 | Over LTP the GW segment that was confined above 17 km on 2 August, returns
378 | as a robust signal in the UT and TTL (**Figure 8a**) from 13-20 km (see **Section 3.3.2**).
379 | The DC-8 and ER-2 sampled not far from LTP (**Figure 9a**) near active convection
380 | (refer to GOES image with flight tracks for all three aircraft on 3 August; Figure 16 in
381 | *Toon et al.*, [2009]). Convective influence is pronounced over LTP and ACR. The
382 | upper of two O₃ minima, at 10-15 km (**Figures 8a and 9b**, with DC-8 spiral
383 | measurements), corresponds to cloud outflow recorded by satellite imagery. **Figure**
384 | **9c**, the CPL-CRS product, indicates persistent cirrus at 13-15 km, confirming outflow
385 | coincident with the broad O₃ minimum in **Figure 8a**. A second O₃ minimum with

386 elevated CO at 5km (**Figure 9b**) is located where there is evidence of cloud aerosol in
387 CPL-CRS imagery (**Figure 9c**).

388 **4 - 5 August.** From 3 August to 4 August there was a sharp transition in the
389 profiles over ACR and LTP (**Figures 8a,b**) that is reflected in the O₃ budgets
390 (**Figures 5a-c**). The relatively dry layer at 8-12 km over LTP becomes moister and the
391 high-O₃, ~ 80 ppbv, declines to 50 ppbv. A sharp ozonopause (16 km) characterizes
392 both ACR and LTP profiles. The GW O₃ budgets to 20 km are identical over ACR and
393 LTP on 4 August. The “S-shape” over LTP, in which a thick O₃ minimum was centered
394 at 13 km on 4 August, is replaced by a nearly uniform 40 ppbv on 5 August (**Figures**
395 **8a,b**) such that FT O₃ over LTP drops from 33 DU to 22 DU, the lowest ozone column
396 in the TC4 period (**Figure 5b**). There is also a decline in FT O₃ over ACR (**Figure**
397 **5c**). The upper FT through TTL and LS is characterized by a very robust GW (above 11
398 km over ACR, 12 km over LTP). However, given the reduced O₃ concentrations in this
399 region, the FT GW budgets (3 DU over ACR, 5 DU over LTP) are fairly low. Although
400 RH profiles are relatively moist from 4-10 km over ACR and LTP on 4 August, the
401 corresponding laminae (**Figure 8b**) retain the RW classification of the prior day. In
402 the FT, RW O₃ is 3 DU over ACR and 5 DU over LTP. On 5 August, over LTP, aircraft
403 sampling confirms FT stratospheric origins (below) below the segment designated RW.

404 From 4 August to 5 August transitions continue in vertical O₃ structures over
405 ACR and LTP (**Figure 8c**). The GW signal, that began at 11 km extending through the
406 TTL on 4 August over ACR (12 km over LTP) retreated to 15 km (14 km over LTP) on 5
407 August. As a consequence, FT O₃ over LTP associated with GW declined from 5 DU to
408 3 DU. The sharp ozonopause dropped ~1 km from 4 August to 5 August, although the
409 UT O₃ structure looks unchanged. Segments designated RW on 5 August were slightly
410 displaced from the prior day but remained prominent between 6 and 12 km. Within
411 this segment, O₃ concentrations over ACR were diminished. Between 7 and 12 km the

412 mean was 75 ppbv on 3 August, 55 ppbv on 4 August and 45 ppbv on 5 August, with
413 similar RH on the latter two days.

414 | Over LTP, from 8 -12 km on 5 August, coinciding with an RW segment (**Figure**
415 | **1** gives details of the laminar analysis), there is a several-km thick layer that is 20 ppbv
416 | above background (within the blue segment in **Figure 1**; see also **Figure 8c**). The
417 | LTP sonde oscillated five times between 2.5 km and 5.1 km on the south end of a
418 | dissipating cell. The profile in **Figure 8c** and LID analysis are based on an average of
419 | the data between 2.5 and 5.1-km so the GW component may be under-estimated.
420 | During the two hours between the first and last ascent in the oscillation layer, O₃
421 | concentrations increased by 4-12 ppbv. Analysis of this case by *Morris et al.* [2009]
422 | concludes that lightning NO production is responsible for much of the increase.

423 | On 5 August, the DC-8 sampled over the Panama Bight, then spiraled SE of LTP
424 | (5.5 N 78W) over the Pacific in a cloudy area, detecting elevated O₃ (50-70 ppbv) and
425 | suppressed CO in a dry layer at 8-10 km, confirming stratospheric origins. The DC-8
426 | noted cleaner than usual conditions at 11 km from updrafts of marine boundary layer
427 | air (**Figure 8c**), although the DC-8 spiral and NATIVE sampling noted elevated
428 | pollutant concentrations near the surface due to biomass fires (see Flight notes at
429 | <<http://www.espo.nasa.gov/tc4/docs>>). ER-2 sampling aimed for convective cells just
430 | SW of LTP (~1540 and 1610 UTC) in Figure 19 in *Toon et al.*, 2009). On route back to
431 | Costa Rica, convection over the Pacific was detected (**Figure 9d**).

432 | **3.2.2 Cases with Elevated RW. Stratospheric and/or Advected** 433 | **Pollution**

434 | During the period 23 July through 28 July most sonde launches were at LTP. It
435 | was noted above that wave signals in the soundings from 23 to 31 July denoted less GW
436 | activity (less convection) and larger RW O₃ segments, implying more stratospheric
437 | influence. Evidence for stratospheric influence was observed in surface ozone and CO

438 at NATIVE (**Figure 10**). On 23 July a normal diurnal O₃ cycle was observed (cf Figure
439 2 in *Morris et al.*, 2009), with a near-zero nocturnal minimum. However, on 24 July
440 the O₃ minimum is closer to 15 ppbv, which causes the daily mean O₃ to increase from
441 17 ppbv on 23 July to 25 ppbv on 24 July. At this time, CO dropped below 90 ppbv, one
442 of the lowest values during TC4, suggestive of stratospheric air (**Figure 10**). This was
443 accompanied by a lower tropospheric wind shift from easterly to westerly. By 26 July,
444 normal values for CO and O₃ were re-established. The LID analysis for 23 July at LTP
445 was not valid, a condition that indicates active transition and no stable layers.
446 However, two days illustrate important RW signals.

447 **31 July.** In **Figure 11a** the ozone and RH vertical structure from the soundings
448 over ACR and LTP are remarkably similar except for a local O₃ minimum that is
449 relatively moist over ACR at 12-14 km. Integrated GW and RW O₃ amounts at the two
450 sites to 20 km (**Figure 5a**) are nearly identical. GW ozone occurs only in the LS (no
451 GW segments in **Figures 5b,c**) implying an absence of convective influence, although
452 below 6 km, RH averages 70-80% over both sites. During ER-2 sampling on 31 July SE
453 of Costa Rica (Figure 16 in *Toon et al.*, 2009), CPL-CRS indicated cloud tops at 15-16
454 km but there were no cells or upwind convective influence over ACR.

455 Two FT local O₃ maxima in the ACR sounding at 7.5 and 8.5 km appear 0.5-1 km
456 higher over LTP (**Figure 11a**). The higher-altitude peak coincides with an RH
457 minimum, suggesting a stratospheric contribution. Note that a distinct RW segment
458 occurs at 4-8 km over ACR and half of the FT O₃ column (~ 10 DU) is classified as RW
459 (**Figure 5c**). RW segments over LTP, although not continuous, occur between 7 and
460 15 km, giving a 10-DU FT O₃ column associated with RW, similar to ACR (**Figure 5b**).

461 **2 August.** ACR displays convective influence in terms of GW only from 11-15
462 km (**Figure 11c**). An RW segment from 5-10 km appears to be explained by a dry
463 stratospherically influenced layer from 4-9 km. The latter feature persisted to 3 August

(**Figure 8a**); there were no 2 August flights. There is no GW signal at all over LTP on this day. However, there is RW signal from 2 to 17 km except for one unclassified segment, at 8-10 km. This extended feature is not as dry as over ACR but the RH over LTP decreases above 7 km. As for ACR the RW influence is retained over LTP even as convection picks up and is sampled on 3 August (**Figures 8a and 9a,b**).

Of contrasts between the ozone over LTP and ACR, perhaps the most significant is in the mixed layer where the LTP mixing ratio averages ~35 ppbv and ACR drops below 20 ppbv. Trajectory analysis (not shown) near the surface give a possible indication for the contrast. Air parcel origins over ACR are marine but at LTP, near surface parcels passed over the Panama City region (not shown).

3.3 Wave Activity in Panamá and CR Profiles

Further context for interpreting convective influence over LTP and ACR is provided by wave frequencies for JJA over Paramaribo and San Cristóbal (**Figure 4b**), SHADOZ sites operating since 1999 [*Thompson et al.*, 2003a,b]. The amplitudes of individual layers and wave structure at the two latter sites resemble those for LTP and ACR (as in **Figure 1**). The GW frequencies at LTP and ACR resemble those at San Cristóbal and Paramaribo (**Figures 4a,b**). Similar GW structure appears over equatorial Indian Ocean sites, eg Watukosek, Kuala Lumpur, that display the highest annually averaged GWF, ~60% [*Thompson et al.*, 2009]. The higher GWF at San Cristóbal is reflected in the GW fraction of JJA 2007 tropospheric ozone budgets. Although the tropospheric O₃ column averaged 25 DU, compared to 28 DU for LTP, the GW-affected O₃ is 25% for San Cristóbal compared to 15% for LTP (**Table 2**). **Figure 4c**, that summarizes GW frequency on a monthly averaged basis over Paramaribo, shows that JJA has about half the maximum GWF, a typical December occurrence. The TC4 campaign was timed for the buildup of the northern sub-tropical convective season and the onset of the North American monsoon.

490 An interannual perspective on ozone budgets and convective influence appears |
491 in **Figure 12**, where mean JJA tropospheric column ozone (with segments for RW and |
492 GW) is displayed for CR (2006 only), Paramaribo and San Cristóbal from 1999-2007.
493 Note that BL ozone is not included here; this amounts to 2 DU for San Cristóbal, 3.5
494 DU at Paramaribo and CR. At San Cristóbal, 2006 is a low-O₃ year compared to the six
495 others, possibly due to a moderate El Niño [*Logan et al.*, 2008]. In the eastern Pacific,
496 El Niño tends to enhance convective activity, mixing lower O₃ air from the BL
497 throughout the troposphere. The GW-affected tropospheric ozone amount in 2006 is
498 only slightly lower than normal San Cristóbal but the total tropospheric column
499 dropped from a mean 22-23 DU (1999-2005; **Figure 12**) to 18 DU so the fraction is |
500 magnified. At ACR, 2006 tropospheric ozone is lower than 2007 (**Figure 12**). |

501 General meteorological conditions of Paramaribo (6N), Panamá (8N), and
502 Alajuela (10N) are similar, with the ITCZ migrating over each, but the three sites
503 represent a gradient away from the equator. From the SHADOZ analyses of *Loucks*
504 [*2007*] and *Thompson et al.* [*2009*], GW frequency diminishes away from the equator.
505 A GWI (Gravity Wave Index) and Rossby Wave Index (RWI; **Figure 13**), based on the |
506 fraction of the O₃ column (in altitude segment to 20 km) that is encompassed by each
507 designation provides a quantitative approach to comparing sonde-to-sonde and
508 interannual variability. The GWI is larger (RWI smaller) at San Cristóbal than at
509 Paramaribo, until more sporadic sampling at San Cristóbal after 2004 appears to
510 compromise the statistics. The latter precludes conclusive linkage of GWI and RWI to
511 climatic signals associated with an El Niño.

512 **4. Summary**

513 During TC4, in July and early August 2007, ozonesondes and radiosondes were
514 launched several times/week at Alajuela, Costa Rica (10N, 84W) to characterize
515 convective influences and TTL structure. At Las Tablas, Panamá (8N, 80W), a remote

516 coastal site 300 km southwest of Panamá City, O₃ profiles from daily sondes, surface
517 O₃, CO and other tracers were analyzed using meteorological fields and satellite
518 observations. Laminar identification (LID), a technique that provides a systematic
519 approach to classifying wave signatures in sounding data, gives a statistical perspective
520 on the TC4 period as well as comparison to the longer-term SHADOZ sounding record
521 at Paramaribo and San Cristóbal. The findings are summarized:

- 522 • GW influences, possibly due to semi-permanent Kelvin waves in the TTL
523 and LS (cf *Grant et al.*, 1998; *Thompson et al.*, 2009) appeared in 40% of
524 LTP sondes and 50% for ACR; the latter is similar to the JJA GW
525 frequency in the TTL and LS over the San Cristóbal and Paramaribo
526 SHADOZ sites.
- 527 • On average there is 35-40% more tropospheric column O₃ at LTP than
528 ACR during TC4 and 20% more at LTP than at San Cristóbal, a remote
529 marine station, 1400 km southwest of LTP.
- 530 • June-July-August soundings at Paramaribo and San Cristóbal suggest
531 that 2007 was a “typical” year in terms of tropical equatorial O₃ amount
532 and convective activity expressed in GW frequency. During 1999-2006,
533 Paramaribo and San Cristóbal display a range of O₃ column amounts and
534 convective influence that bracket the TC4 ACR and LTP values.

535 Classification of wave types through LID is validated through case studies in which
536 aircraft and satellite observations support interpretation of convective influences (with
537 the GW designation) and stratospheric signatures, corresponding to RW. Laminae of
538 low-O₃ surface air injected into the FT through convection are detected by LID,
539 frequently interleaved with stratospheric layers; subtle day-to-day variations are also
540 captured. The pattern of convection inferred from LID over the course of TC4 is

541 consistent with the meteorological evolution of the campaign [*Toon et al.*, 2009]. The
542 early part of TC4, from 13-22 July 2007, was characterized by persistent GW
543 throughout the TTL and segments of the FT. These signals diminished from 23 July
544 until approximately 2 August, retreating to above the TTL, and replaced by RW
545 segments in the lower and mid FT in many cases. The latter corresponds to strato-
546 spheric influence or occasional pollution. After 2 August, GW activity resumed in the
547 FT as convection strengthened and aircraft sampling intensified in the TC4 region.

548 Satellite and aircraft data along with sondes established the convection-GW
549 linkage and demonstrated that stratospheric laminae interleaved with layers from
550 convective outflow is a prevalent pattern in the equatorial Americas. In terms of TC4
551 objectives, our analysis of ozone structure strengthens the case for convection as a
552 dominant mechanism for water vapor transport and cirrus formation in the TTL. The
553 persistence of O₃ laminae of stratospheric origins throughout the free troposphere
554 requires further investigation to determine the extent to which these layers are
555 remnants of extra-tropical filaments or associated with localized equatorial waves.

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Table 1. Stations for which data are used. Further technical details given in Table A-1 in *Thompson et al. (2003a)* and in *Thompson et al. (2007b)*.

Station	Latitude	Longitude	Co-Investigator/Sponsor
Las Tablas	7.8N	-80.	G. A. Morris, A. M. Thompson
San Cristóbal	-0.92	89.60	H. Vömel. INAMHI (National Inst. of Hydrology and Meteorology of Ecuador), M. V. A. Reyes
Paramaribo	5.81	-55.21	G. Verver & Met. Service Surinam
Heredia/Alajuela, 10.0		-84.1	H. Vömel; J. Valverde Canossa

Table 2. Free tropospheric ozone columns during June-July-August 2007. ACR mean omits 28 July sounding.

Station	GW O₃	RW	Other	Total
ACR - DU	2.9	8.2	9.3	20.4 DU
ACR - %	14	40	46	100
LTP - DU	3.94	13.2	10.8	28 DU
LTP - %	15	47	38	100
San Cris.- DU	5.5	7.5	12	25
San Cris.- %	24	28	48	100

Table 3. Summary of days with both Las Tablas (LTP) and Alajuela (ACR) sondes and corresponding TC4 flights.

Date	Flight	Date	Flight
13 July	DC-8	2 Aug.	---
19 July	ER-2	3 Aug.	DC-8, ER-2, WB-57
22 July	DC-8,ER-2	4 Aug.	---
28 July	--	5 Aug.	DC-8, ER-2, WB-57
31 July	DC-8, ER-2		

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FIGURE CAPTIONS

- Fig 1 Application of laminar identification (LID) method to typical sounding from Panamá. Segments within FT (free-troposphere), TTL (tropical tropopause layer), LS (lower stratosphere) are depicted along with normalized O_3 (solid line), potential temperature (dotted line) and correlation between the two quantities (dashed). Correlation criteria for Rossby waves (RW) are within vertical lines between -0.3 and +0.3 (light blue). The latter designation is used in discussion of profiles and budgets. For computation of the RW Index, a more restrictive criterion is used, namely, the corresponding O_3 layer amplitude must exceed 0.1 (10%), as in the darker blue. Gravity wave (GW) criterion of *Pierce and Grant* (1998; see their Figure 1) and *Thompson et al.* (2007a; Figure 3) calls for normalized O_3 and θ correlation to reach 0.7 (vertical line; light green for budgets). For computation of the GW Index, only ozone within dark green is counted, i.e., the 10% layer-amplitude requirement is applied to O_3 .
- Fig 2 Curtain plots of ozone mixing ratio to 18 km during TC4 over (a) Alajuela, Costa Rica (ACR); (b) Las Tablas, Panamá (LTP). White line refers to the tropopause.
- Fig 3 Mean profiles of ozone, temperature, relative humidity (RH) from surface to 20 km for: (a) Alajuela, Costa Rica (ACR); eight O_3 profiles with slight interference from volcanic SO_2 have been smoothed at 3 km. (b) Las Tablas, Panamá. In the latter case, seven questionable RH profile segments are omitted from mean.
- Fig 4 (a) Frequency of GW occurrence over LTP, ACR during July-August 2007 TC4 sampling; (b) mean GW frequency over Paramaribo and San Cristóbal, based on all 1999-2007 profiles. Paramaribo did not launch during TC4; (c) annual cycle in GW frequency at Paramaribo. The latter is typical of tropical SHADOZ sites.

Fig 5 (a) Amounts of FT-TTL-LS O₃ (in DU) from top of the BL to 20 km, affected by GW, RW determined by LID [Thompson *et al.*, 2007a] based on O₃ and P-T-U soundings from days with both Las Tablas (LTP) and Alajuela (ACR) launches during TC4. (b) Same as (a) except for free tropospheric (FT) O₃ segment of all LTP soundings during TC4; (c) same as (b) for FT ozone over ACR.

Fig 6 Ozone, RH, temperature profiles at ACR and LTP for three days with convective influence at one or both sites, as denoted by GW-affected ozone. (a) 13 July 2007; (b) 19 July; (c) 22 July. Vertical bars refer to RW (blue) and GW (green) as described in Figure 1.

Fig 7 (a) Uv-DIAL image of ozone from DC-8 flight from California to Costa Rica. Ozone < 40 ppbv, purple, is near surface and also at cloud outflow level, ~ 10 km, south of the ITCZ; the latter is the cloudy region at 1945 UTC; (b) GOES image with cloud top temperature for 19 July 2007; cloud top location over LTP corresponds to GW-lamina, signifying convection, which is absent over ACR. (c) OMI NO₂ is higher over LTP than ACR; (d) convective cells on 22 July 2007 near LTP from ER-2 Cloud Physics Lidar and Cloud Radar System composite image [Hlavka *et al.*, 2009].

Fig 8 Profiles from days with active convection in August 2007 TC4 sampling (a) 3 August 2007; (b) 4 August; (c) 5 August. Labels as in Figure 6. For 5 August, the DC-8 O₃ measurement from profiling near LTP displayed a high-O₃ low-CO layer [Avery *et al.*, 2009] similar to the high-O₃, lower RH feature at 8-10 km in (c). Stratospheric origins are thus confirmed within profiles that reflect active convection. On 5 August, the ozonesonde package, caught in a dissipating convective cell, oscillated in updrafts and downdrafts (presumably due to icing)

while undergoing photochemical ozone formation associated with lightning [Morris *et al.*, 2009]. Profiles corresponding to the oscillatory segment in (c) have been averaged so the GW pattern may not be captured below 7 km.

Fig 9 (a) 3 Aug 2007 flight track of DC-8 (red, with spiral over LTP, starred) and ER-2 (blue) superimposed on GOES-R cloud imagery enhanced with cloud-top height. Arrow indicates location of DC-8 spiral that resulted in (b) where ozone and CO indicate stratospheric influence from 6-8 km and convection at 5 km and above 8 km. ER-2 sampling produced (c) composite CPL-CRS image with convective cells at 7.5N, 80.5W. (d) same as (c) except for 5 August ER-2 sampling.

Fig 10 Evidence for RW signifying stratospheric impact at surface, from daily mean mixing ratios of (a) ozone; (b) CO measured from NATIVE in Las Tablas, Panamá (7.8N, 80N).

Fig 11 Profile sets of ozone and RH with more prominent RW influence and less GW ozone signature in FT. (a) 31 July 2007; (b) 2 August 2007.

Fig 12 Averaged ozone amounts (in DU) in FT affected by GW, RW determined by the laminar method using all soundings of O₃ and P-T-U from 1999-2007 for San Cristóbal, Paramaribo, and, since 2005, for Costa Rica. The 2007 designation at LTP is for the TC4 period. In 2007, SHADOZ Costa Rican sonde launches moved from Heredia to Alajuela, ~20 km distant.

Fig 13 Gravity and Rossby wave Indices (GWI, RWI) based on O₃ and P-T-U soundings from the SHADOZ sites: (a) Paramaribo, Suriname (6N, 55E); (b) San Cristóbal, Galapagos (1S, 90W).

Panama Laminae 2007080515

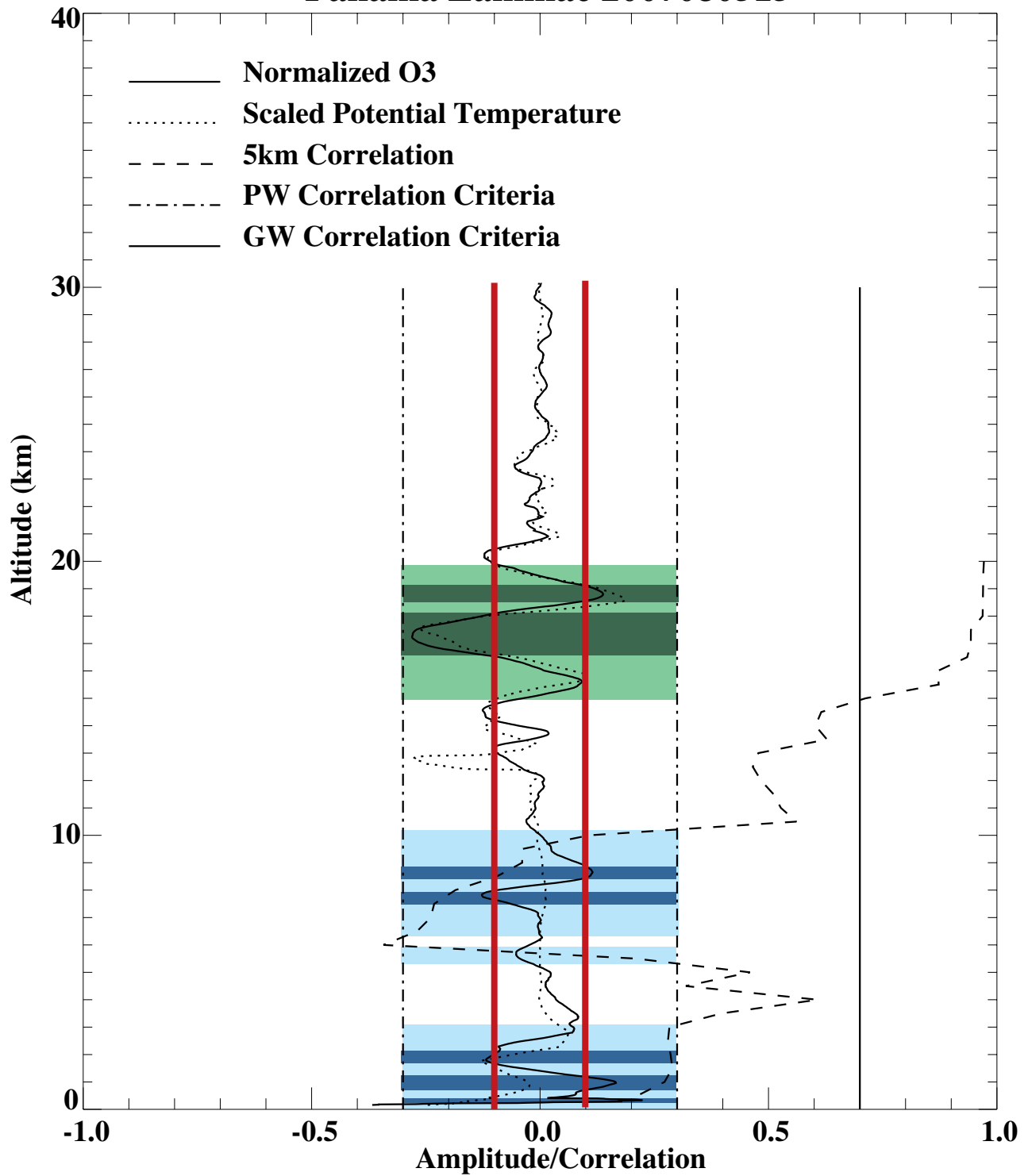
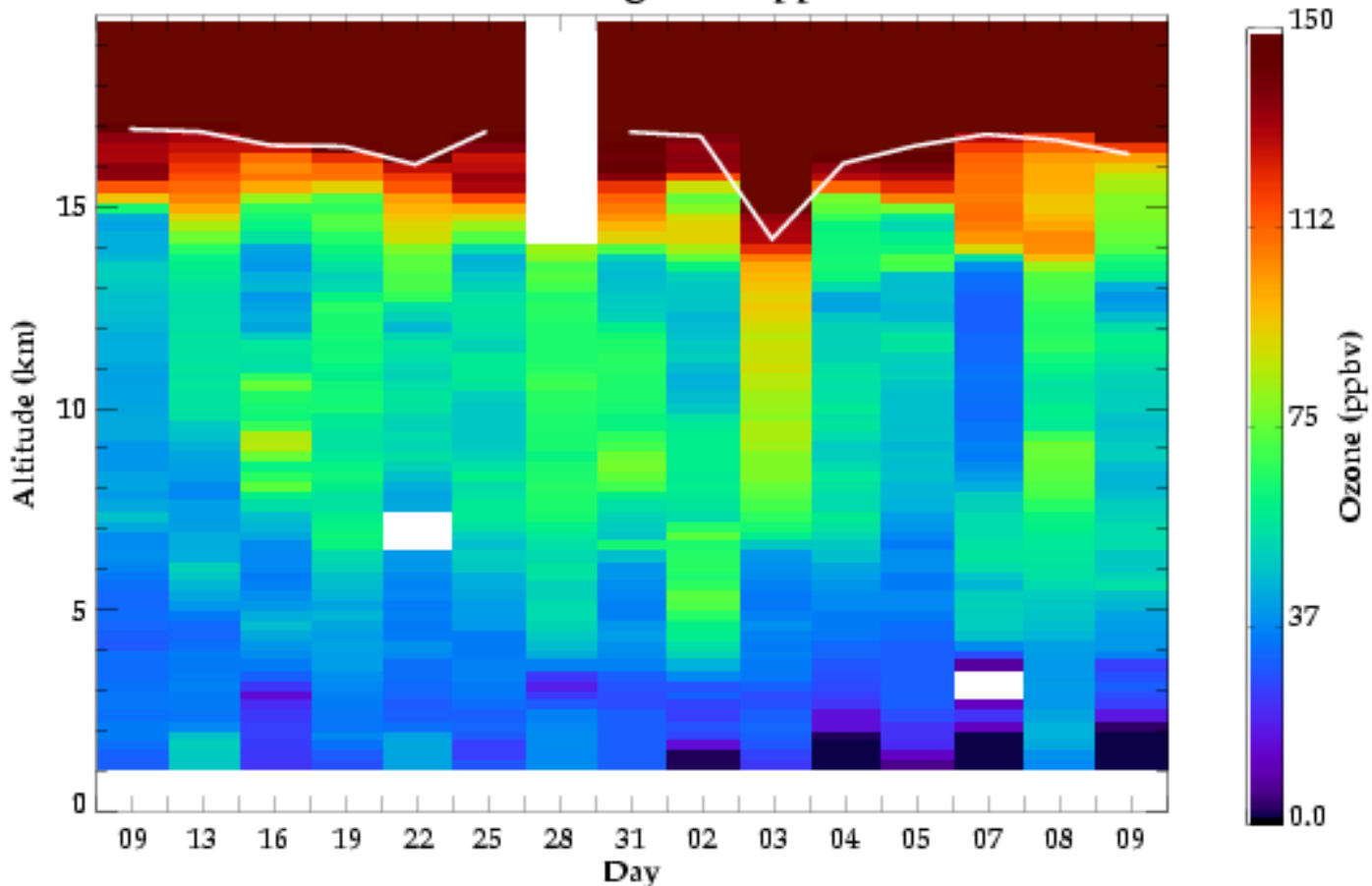


FIGURE 1

Alajuela, Costa Rica: TC4 July-August 2007
Ozone Mixing Ratio (ppbv)

a



TC4 NATIVE Ozonesondes: Panama July-August 2007
Ozone Mixing Ratio (ppbv)

b

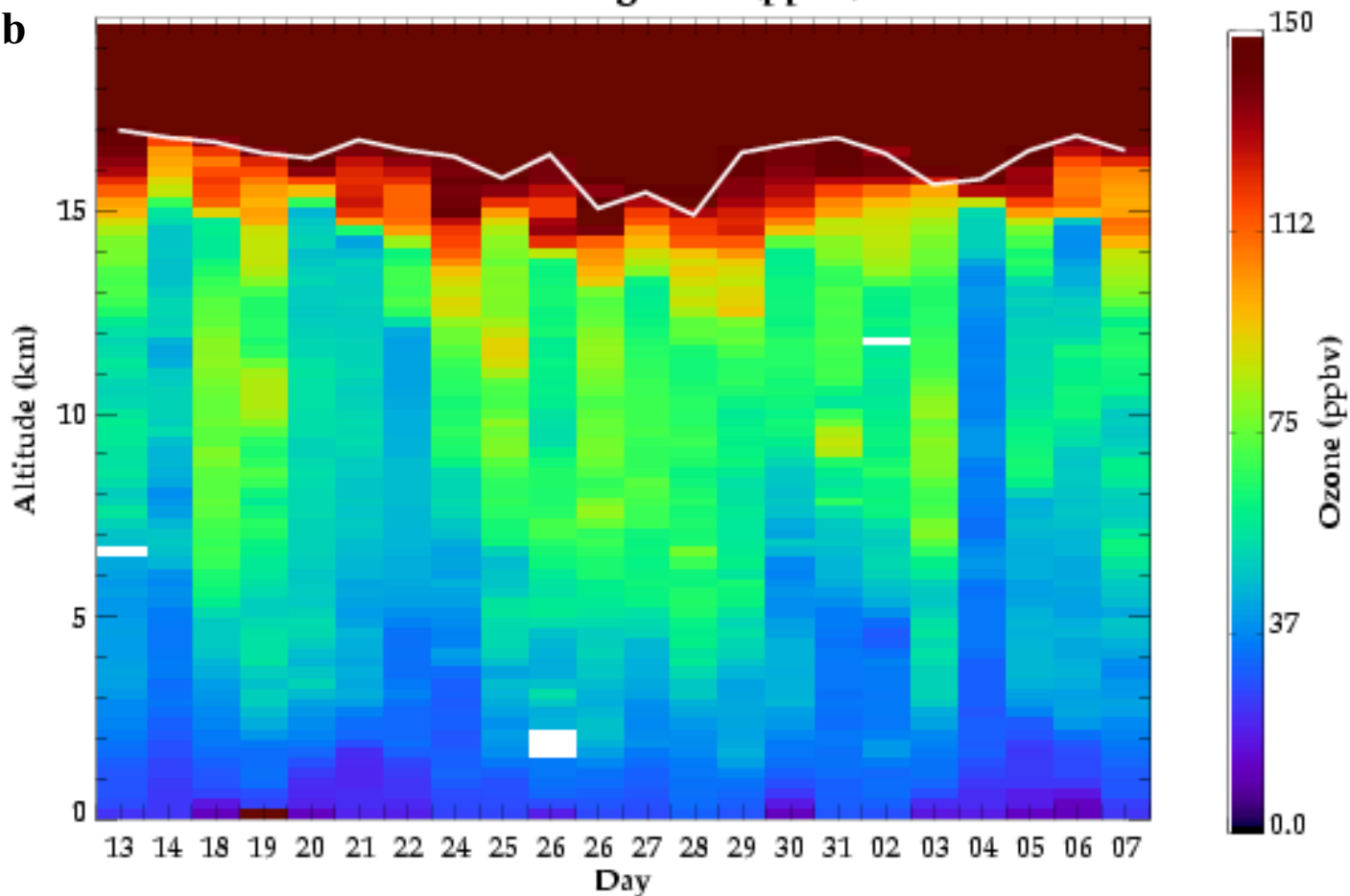


FIGURE 2

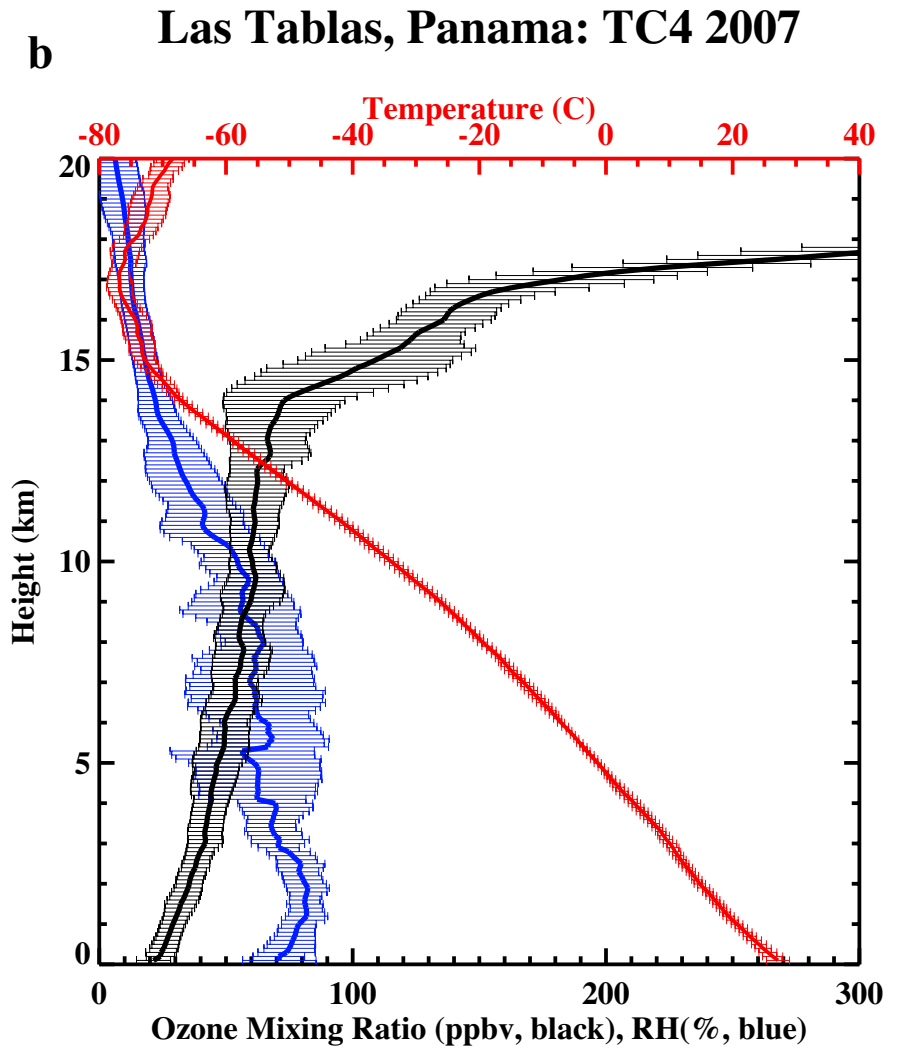
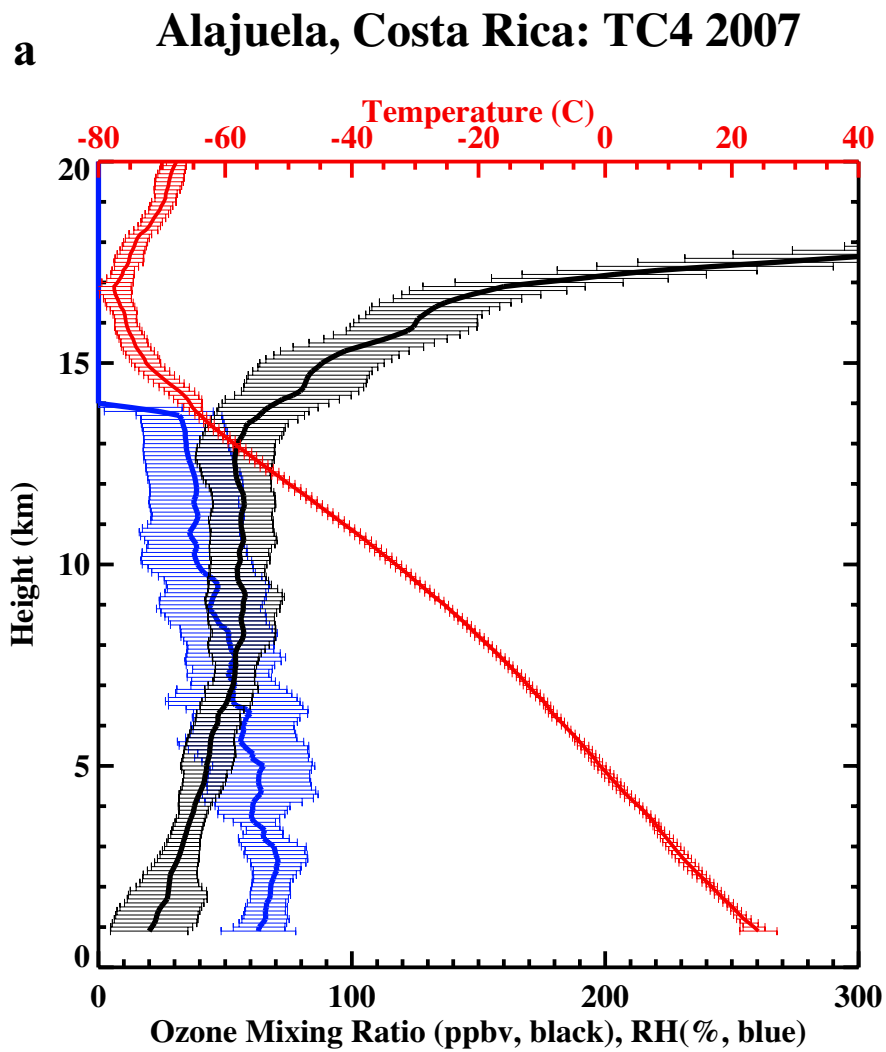


FIGURE 3

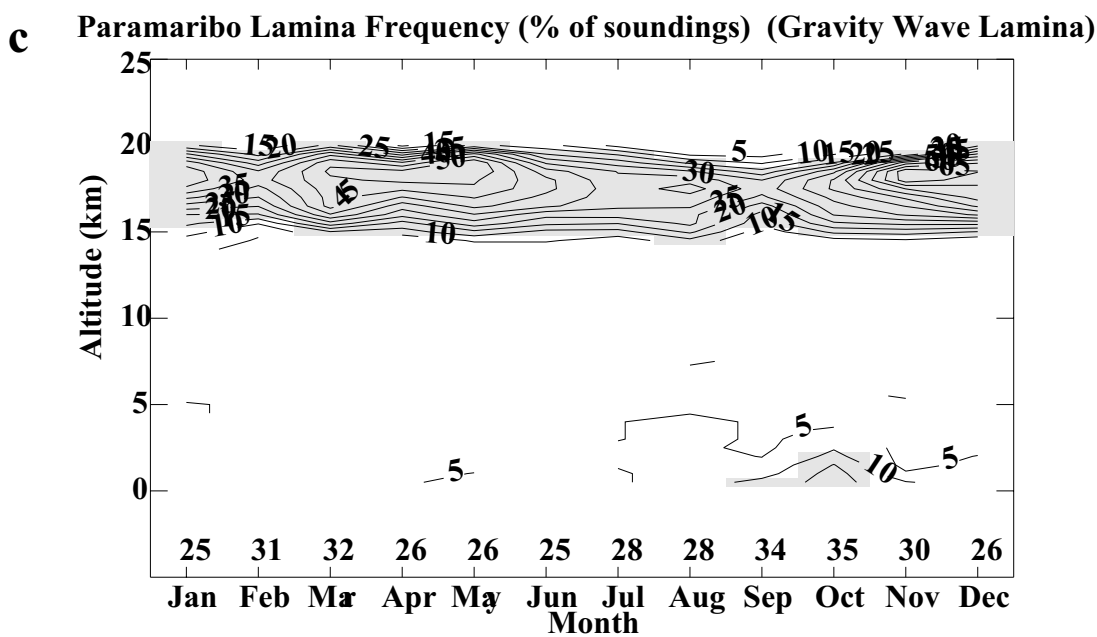
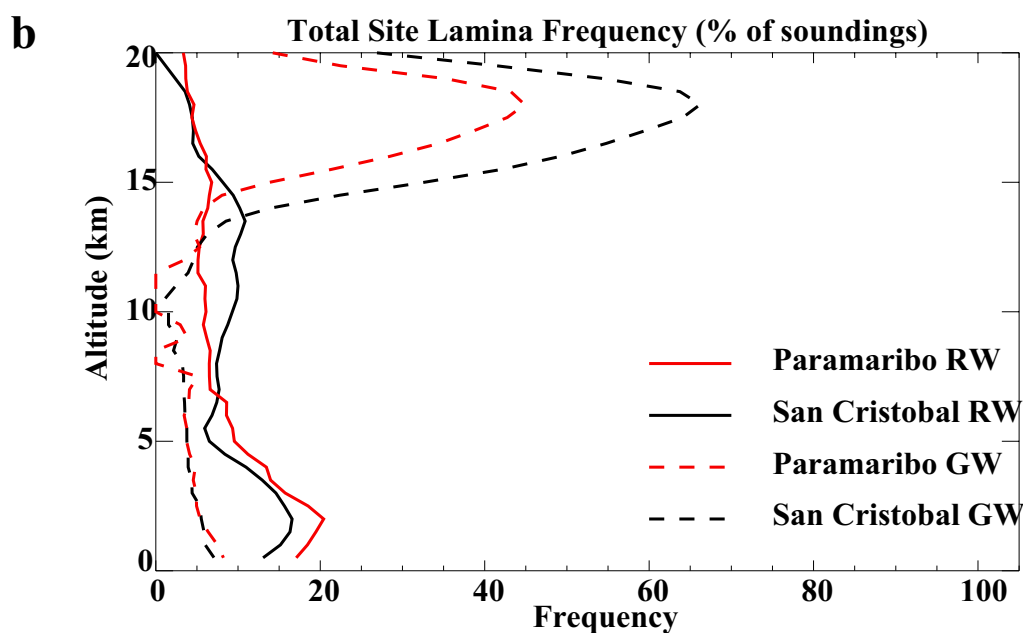
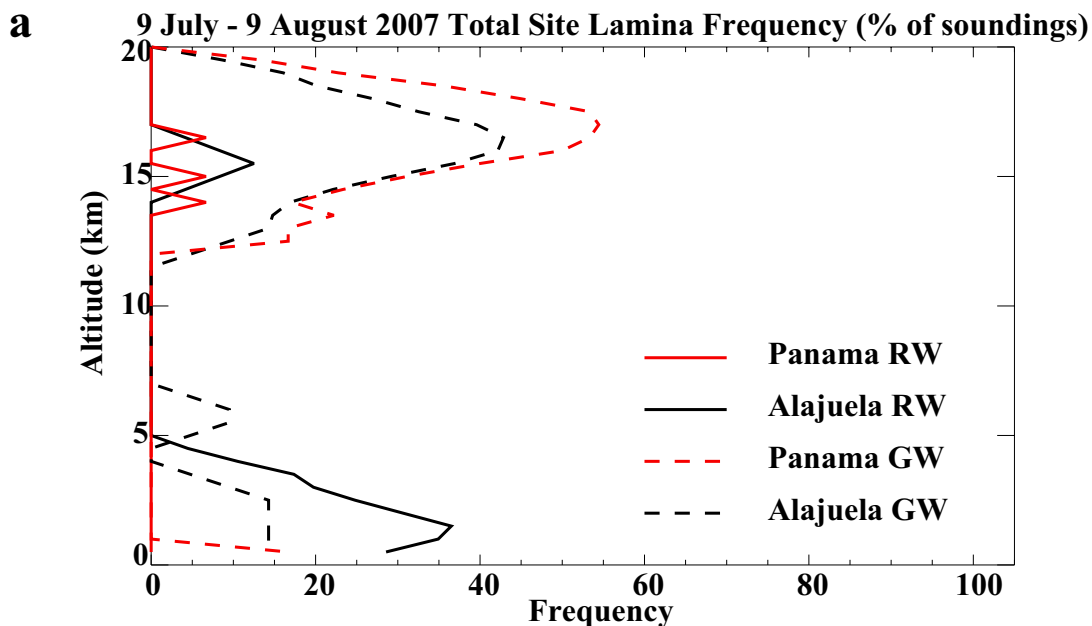
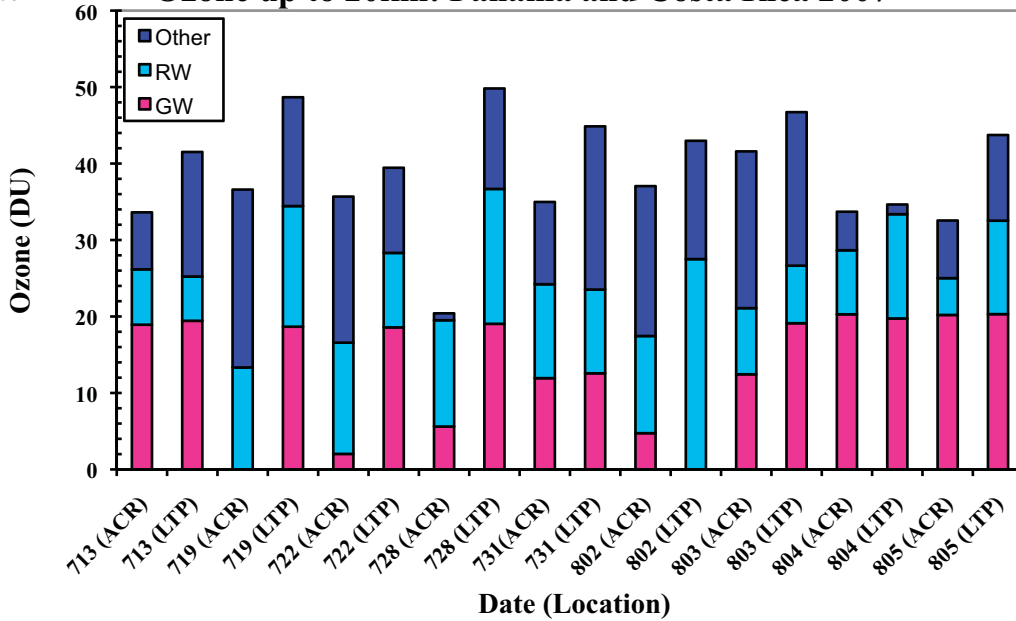
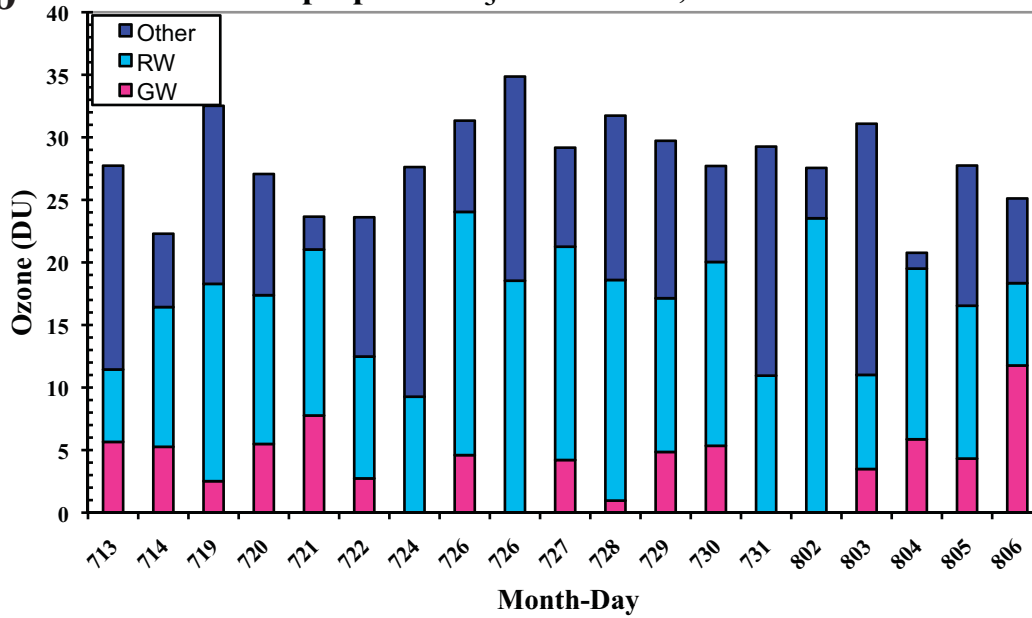


FIGURE 4

a Ozone up to 20km: Panama and Costa Rica 2007



b Free Tropospheric O₃: Las Tablas, Panama 2007



c Free Tropospheric O₃: Alajuela, Costa Rica 2007

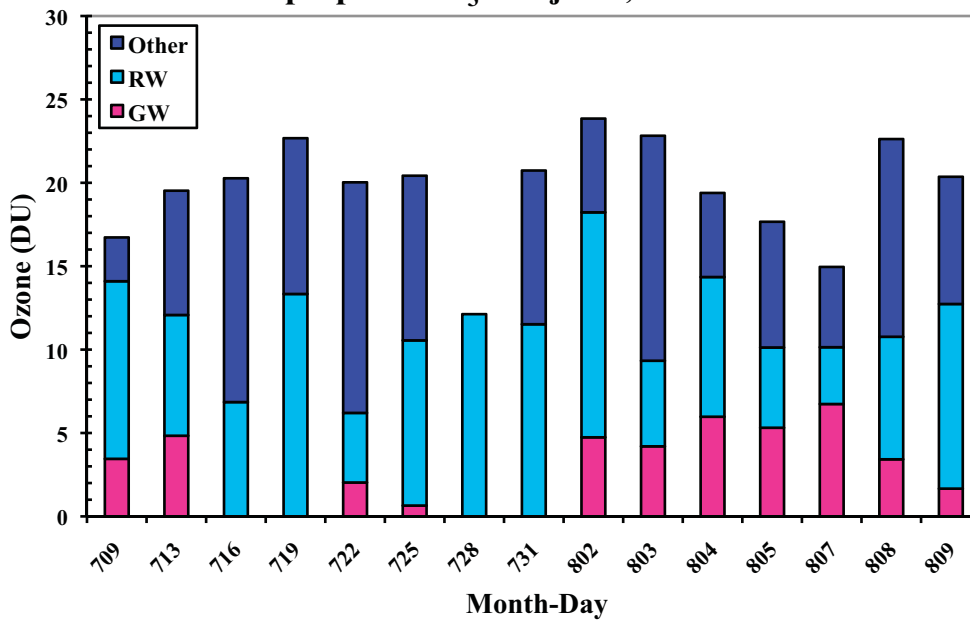


FIGURE 5

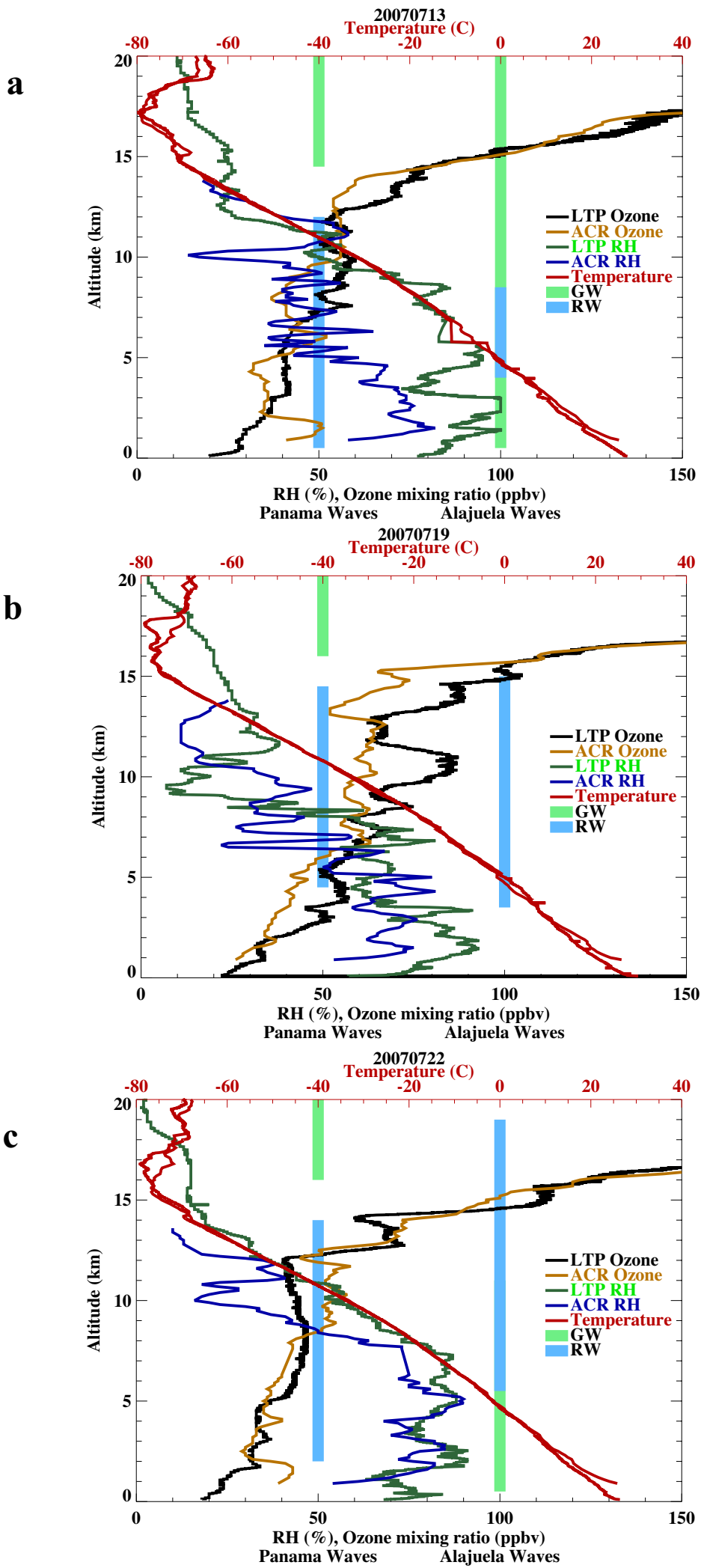


FIGURE 6

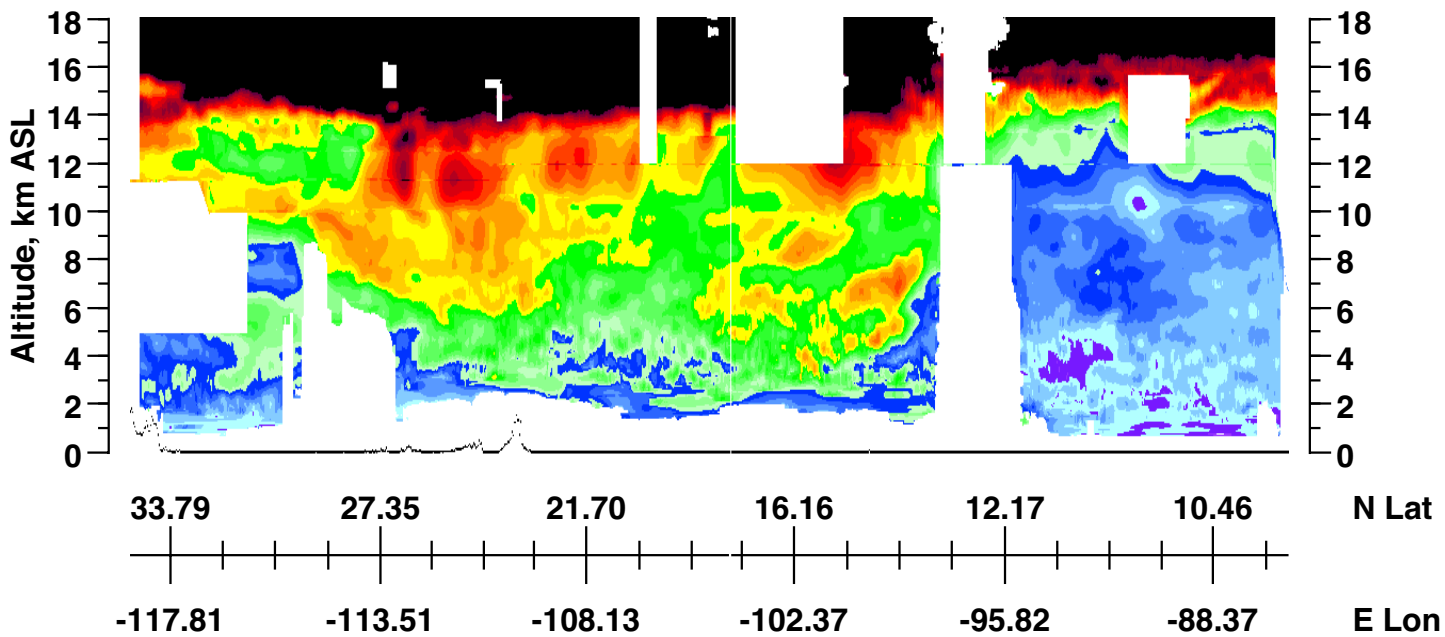
Ozone Mixing Ratio, ppbv

a

0 25 50 75 100 125



16:00 17:00 18:00 19:00 20:00 21:00 UT



b

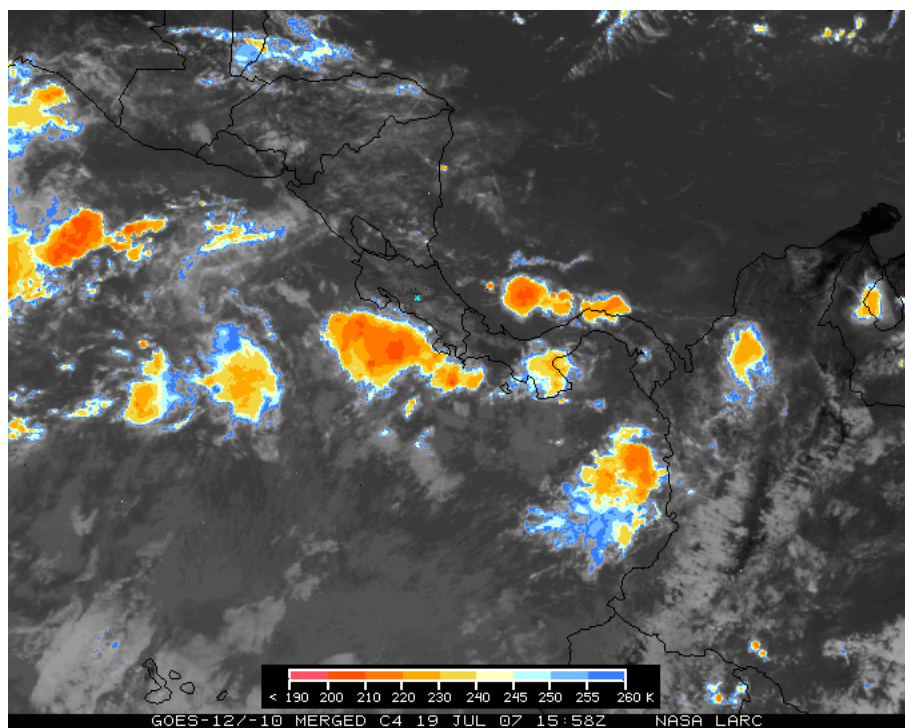


FIGURE 7

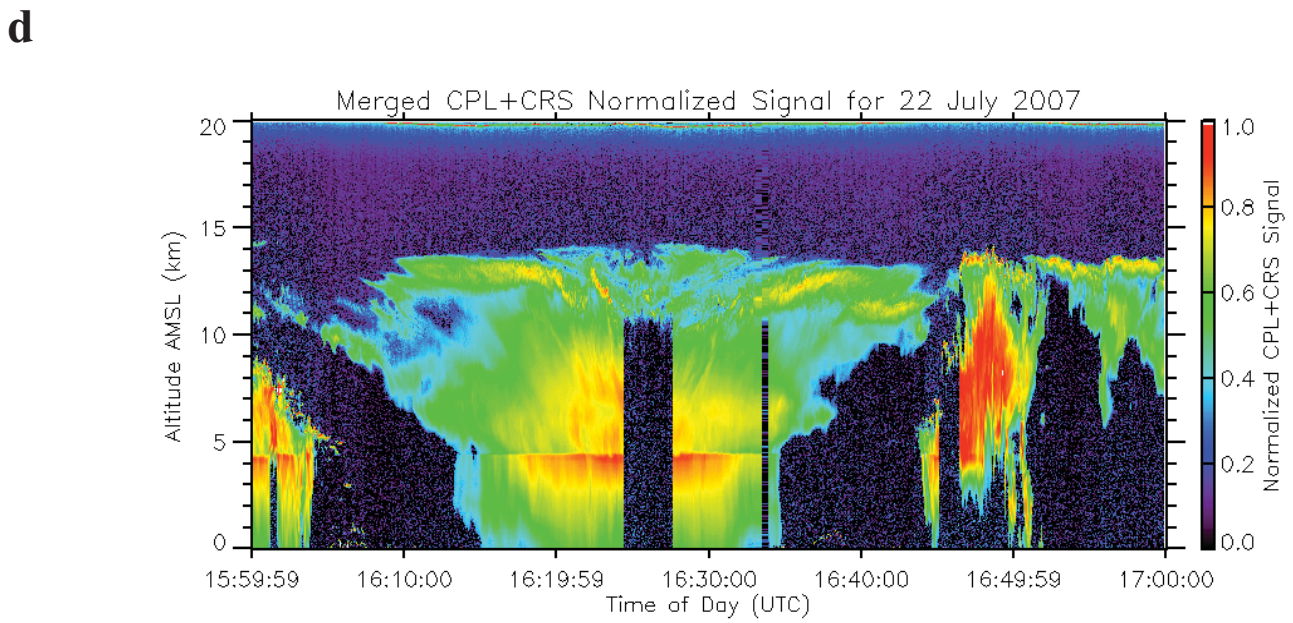
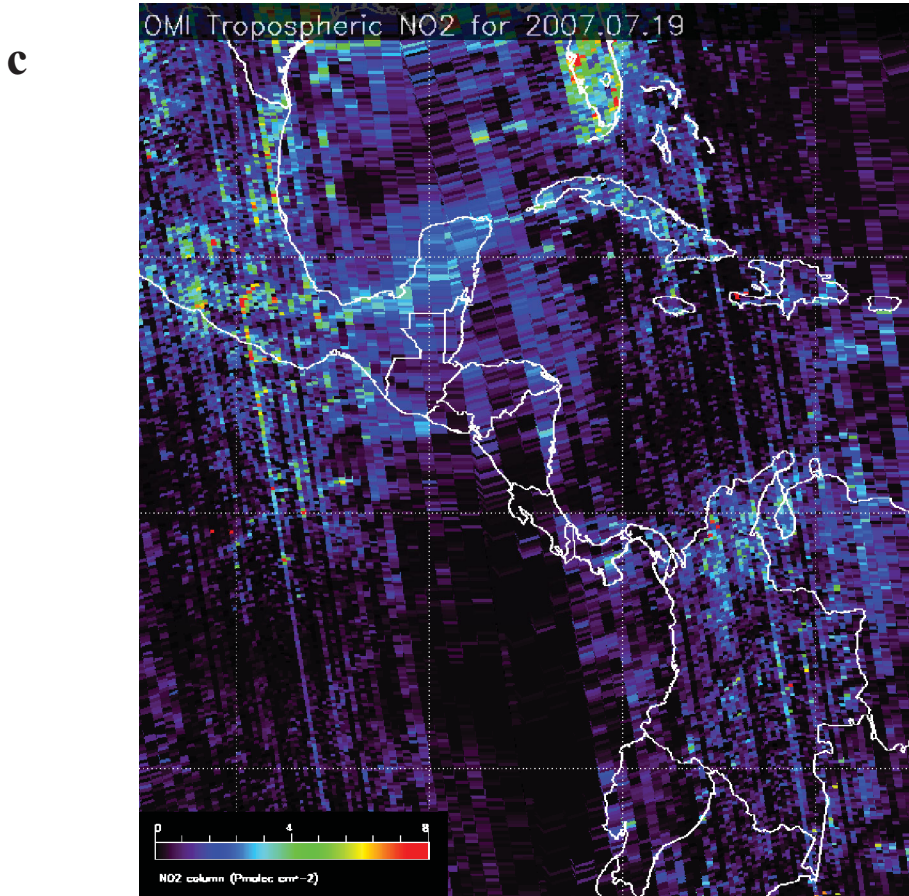


FIGURE 7 (continued)

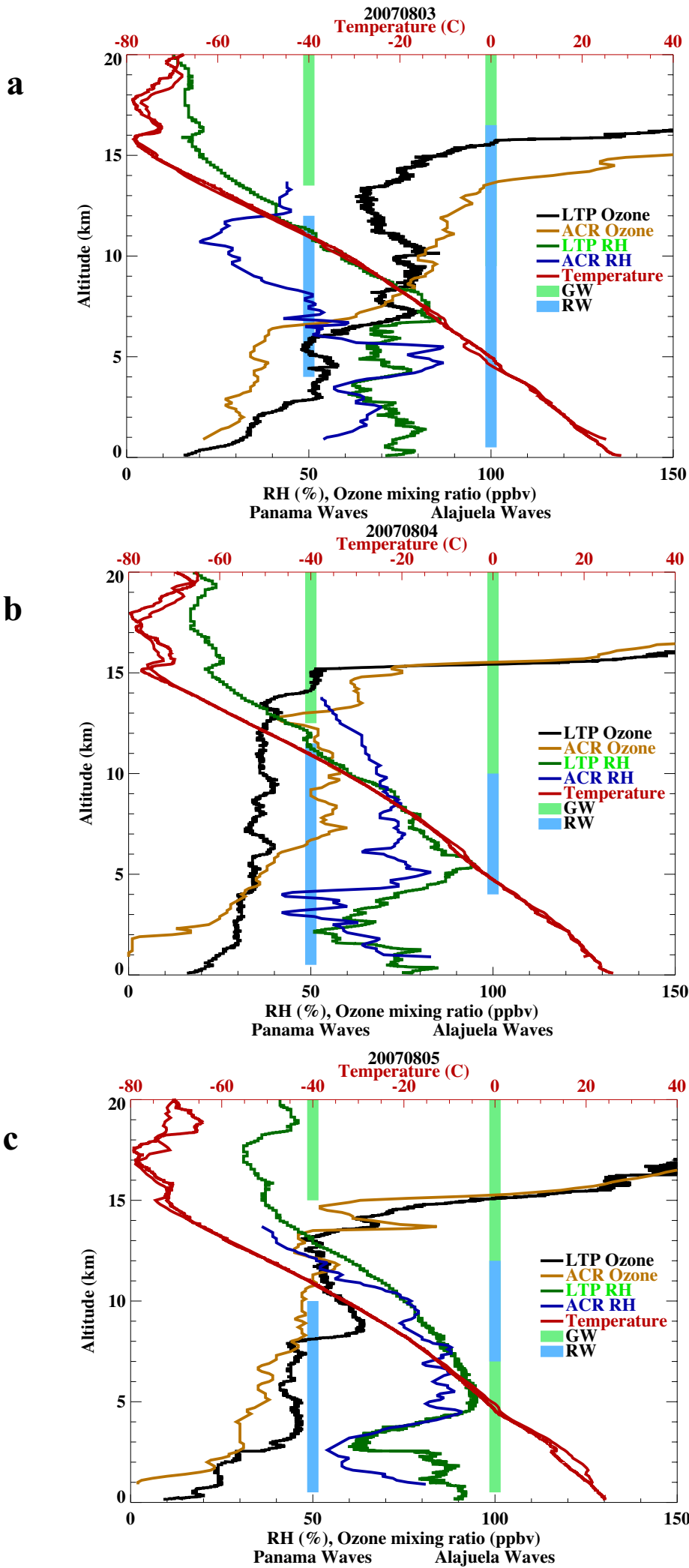


FIGURE 8

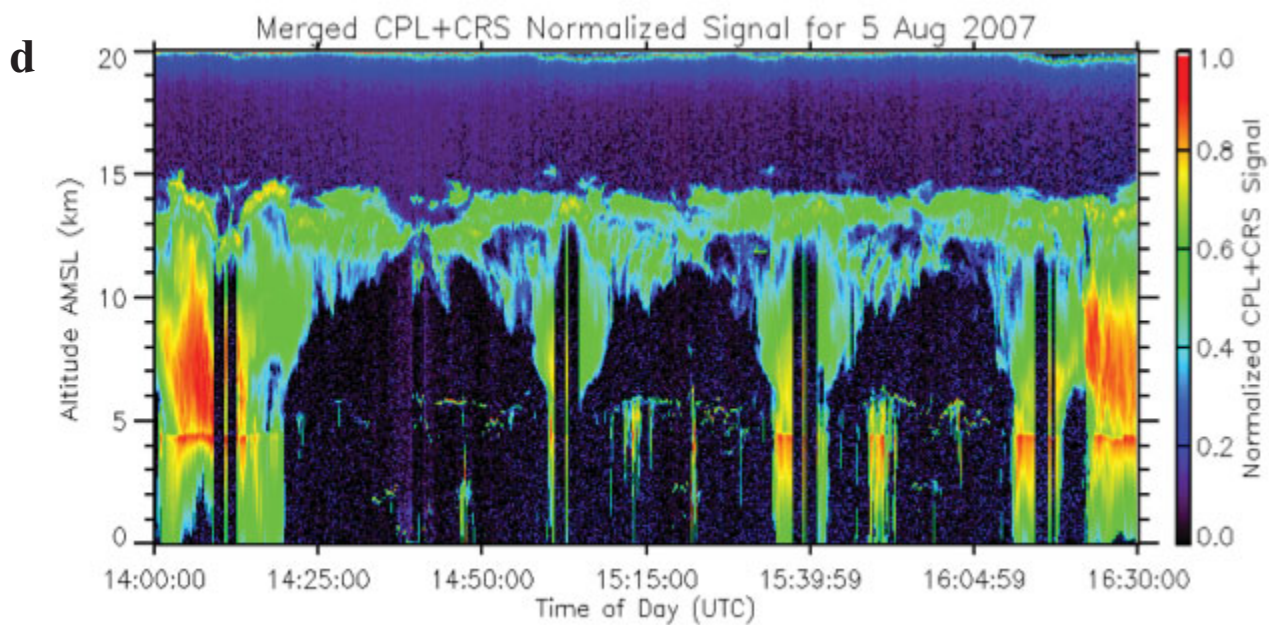
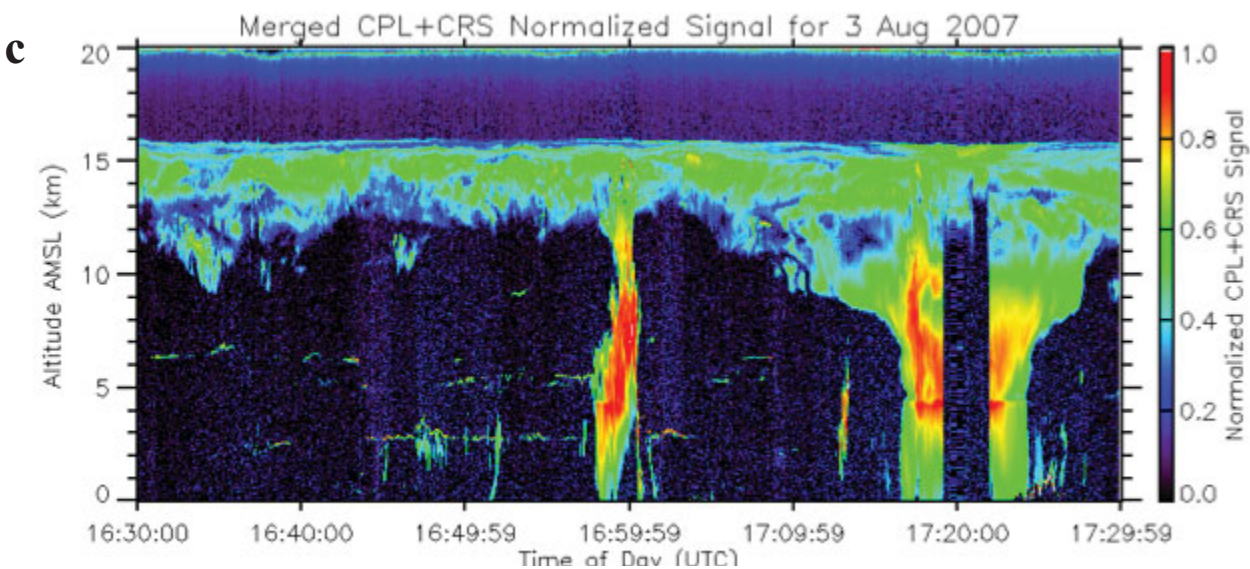
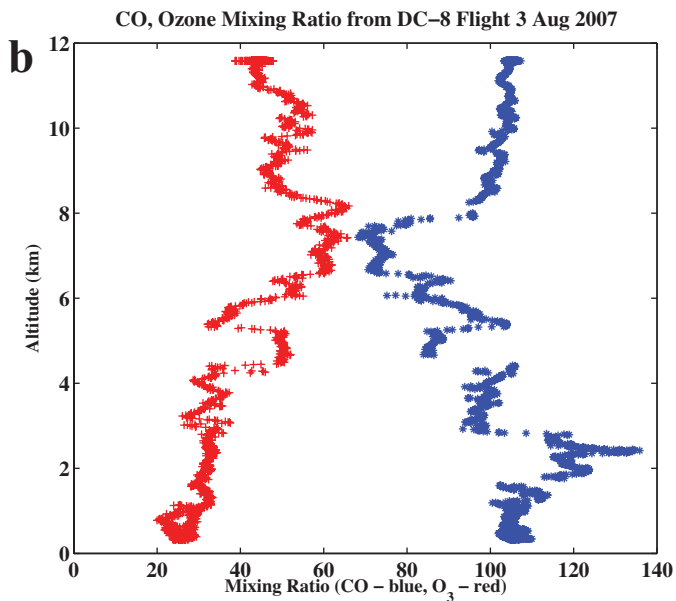
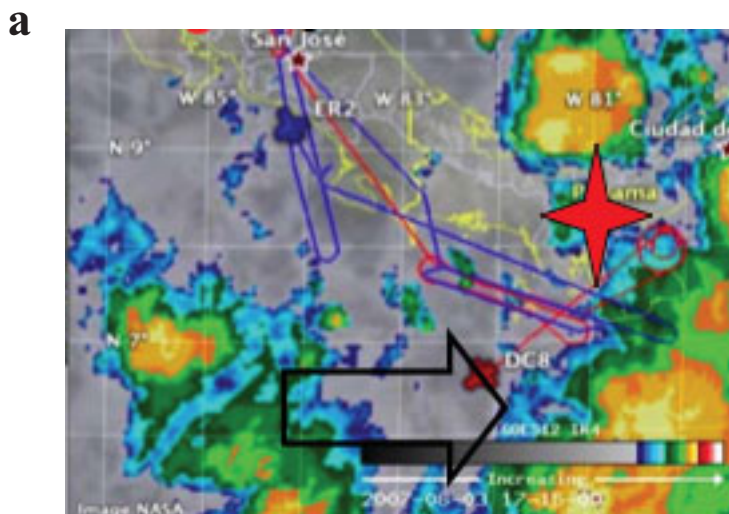
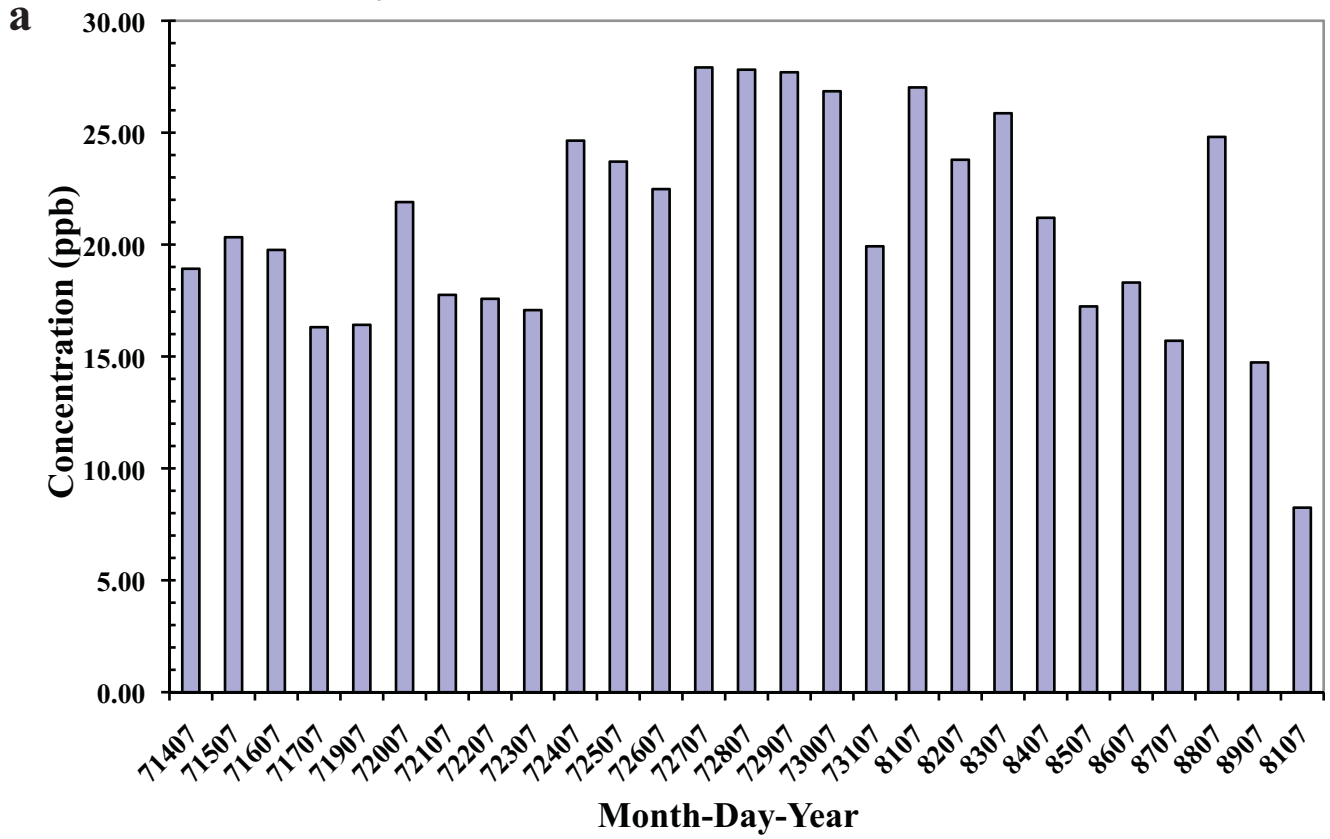


FIGURE 9

Daily O3 Mean Concentration for Panama 2007



Daily CO Mean Concentration for Panama 2007

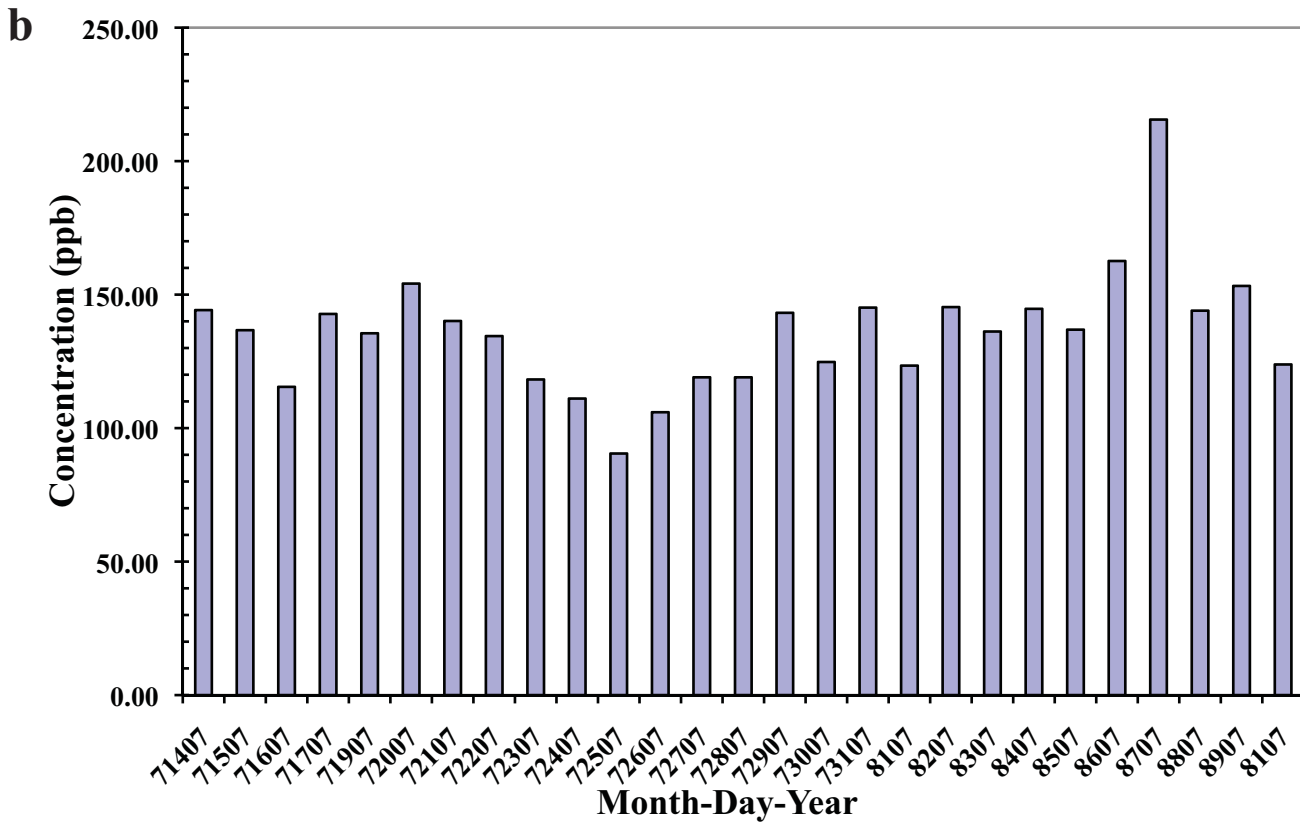


FIGURE 10

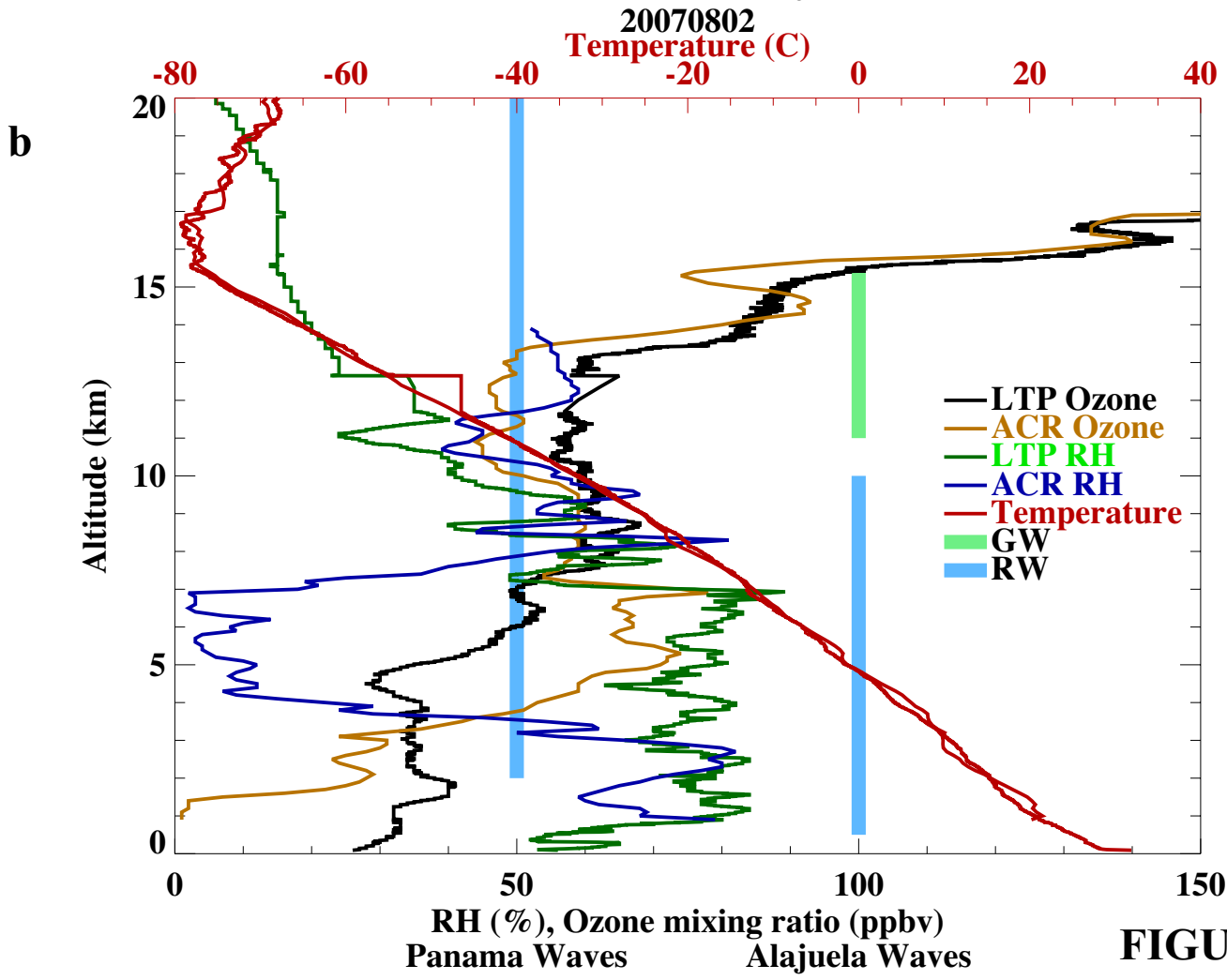
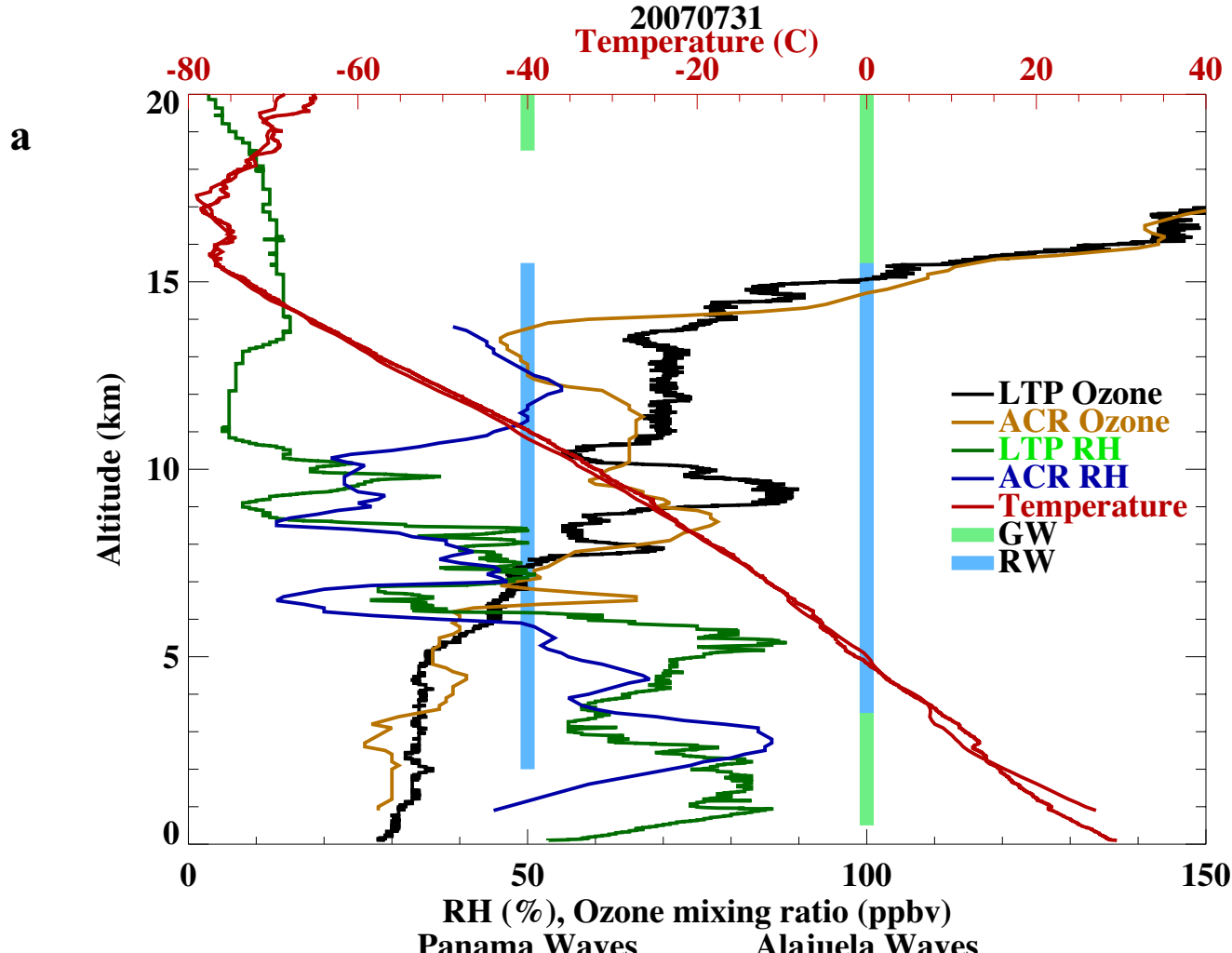
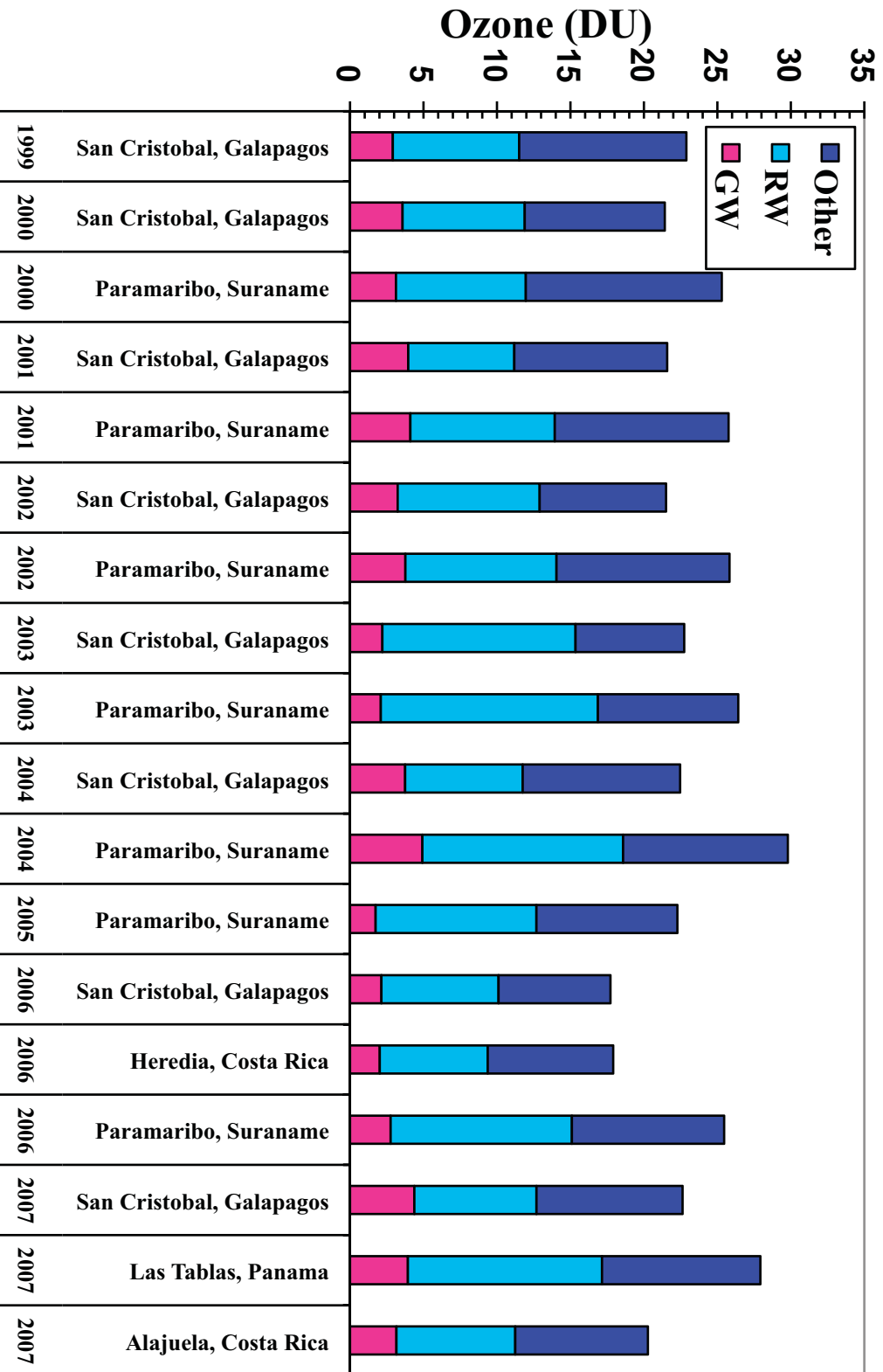


FIGURE 11

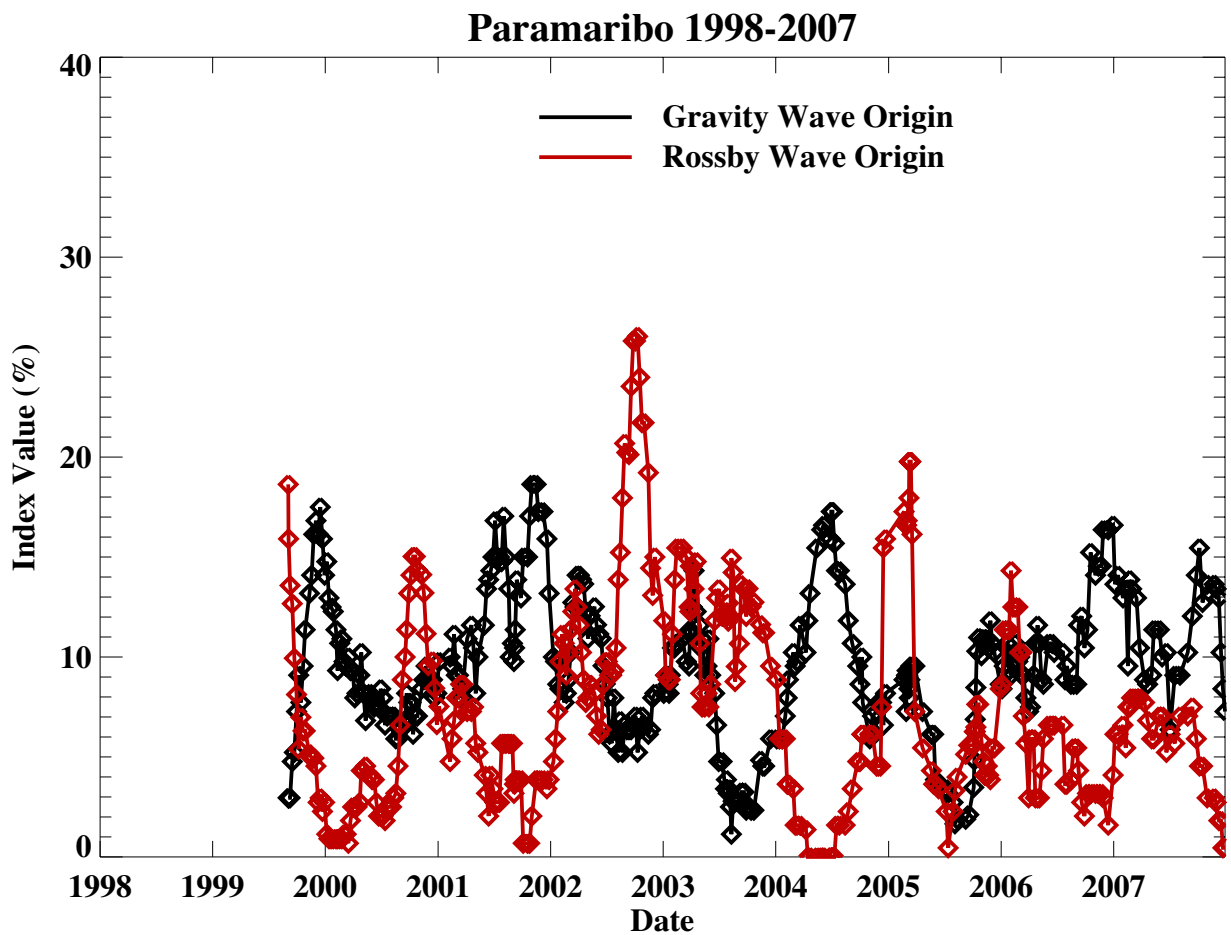
Mean J-J-A Tropospheric Ozone



Location and Year

FIGURE 12

a



b

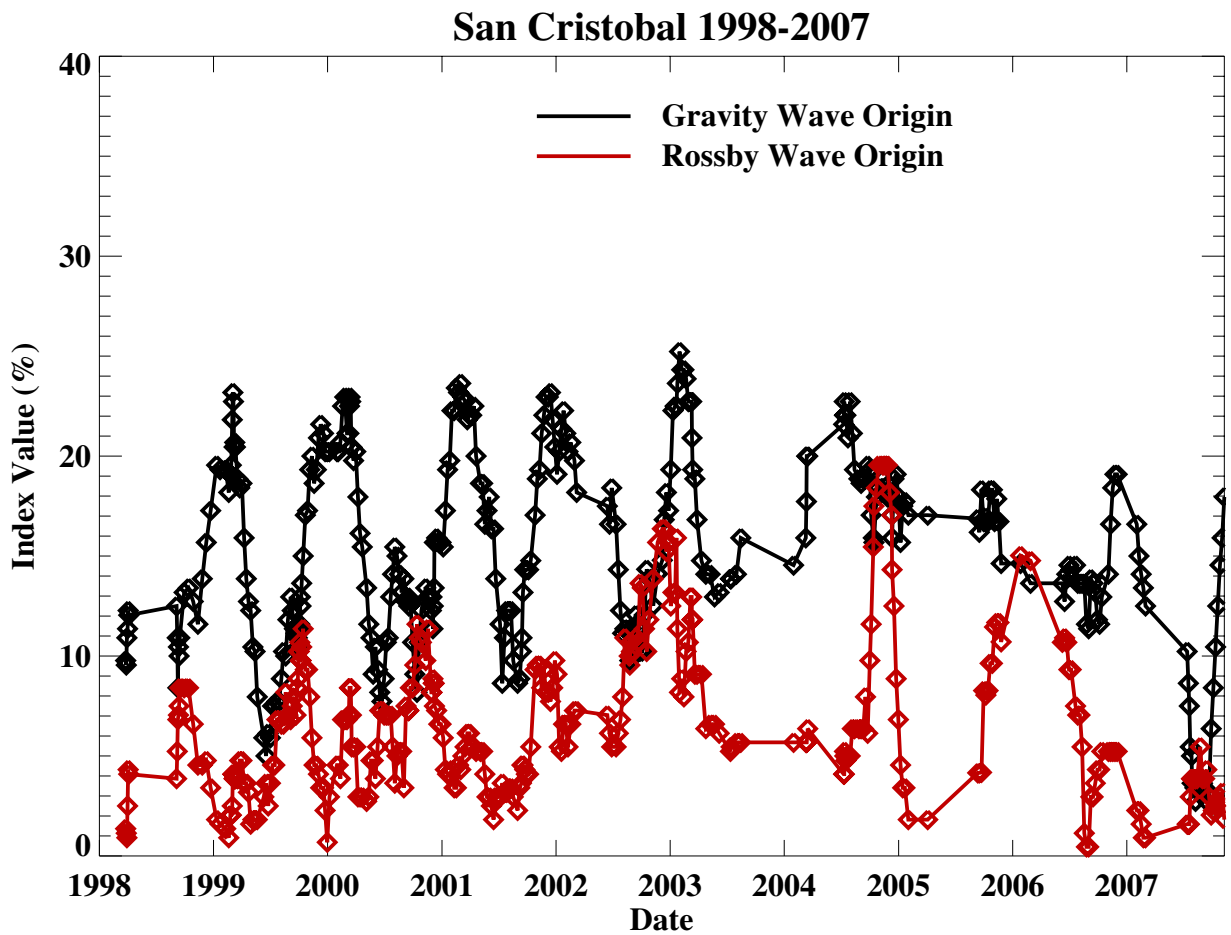


FIGURE 13