

1 In situ and lidar observations of subvisible cirrus clouds during TC4

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25

26 **Abstract**

27

28           During the Tropical Composition, Clouds, and Climate Coupling (TC4) experiment in  
29 July-August 2007, the NASA WB-57 and ER-2 aircraft made unprecedented coordinated flights  
30 through an extremely tenuous subvisible cirrus (SVC) layer off the Pacific Coast of Central  
31 America. The ER-2 aircraft was equipped with a remote sensing payload that included the cloud  
32 physics lidar (CPL). The WB-57 payload included cloud microphysical and trace gas  
33 measurements, and the aircraft made four vertical profiles through the SVC layer shortly after  
34 the ER-2 flew over. The in situ and remotely sensed data are used to quantify the meteorological  
35 and microphysical properties of the SVC layer, and these data are compared to the limited set of  
36 in situ SVC measurements that have been made to date in previous field campaigns. It is found  
37 that the layer encountered was particularly tenuous, with optical depths ( $\tau$ ) between about  $10^{-4}$   
38 and  $10^{-3}$ . From the in situ and other meteorological data, radiative heating rate perturbations of  $\sim$   
39  $0.01 - 0.1 \text{ K day}^{-1}$  are calculated. These heating rates are smaller than several previous  
40 estimates, consistent with the smaller  $\tau$  in the present study. A climatology of SVC properties  
41 from the CPL data indicates that this cloud was optically thinner than most other SVC  
42 measurements during TC4. SVC with properties similar to the one presented here are below the  
43 detection limit of space-based lidars such as CALIPSO, and the TC4 climatology suggests that a  
44 large fraction of SVC will be unaccounted for in global studies using that data.

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48 **1. Introduction**

49

50 Cirrus clouds play an important role in Earth’s radiative energy balance, and may  
51 contribute either a positive or negative radiative effect depending on their microphysical  
52 properties [e.g., *Fu and Liou, 1993; Stephens et al., 1990*]. Within the broader category of cirrus  
53 clouds, subvisible cirrus clouds (SVC,  $\tau < 0.03$ ) have been found to have a net positive radiative  
54 effect on the top-of-atmosphere energy budget due to their infrared “greenhouse” effect  
55 outweighing their solar “albedo” effect [*Comstock et al., 2002; Fu and Liou, 1993; McFarquhar*  
56 *et al., 2000*]. Similarly, thin cirrus ( $\tau < 0.3$ ), which include SVC, have been estimated to make a  
57 significant contribution to the global TOA radiation budget through their reduction of OLR  
58 [*Haladay and Stephens, 2009*].

59 In addition to their radiative importance, tropical SVC may also be involved in  
60 dehydration and radiatively-driven lofting of air as it ascends through the tropical uppermost  
61 troposphere into the stratosphere [*Corti et al., 2006; Jensen et al., 1996; Luo et al., 2003*],  
62 thereby affecting the rates of transport of chemical constituents from convective detrainment  
63 levels up to the stratosphere. It has also been shown that SVC near the tropical tropopause can  
64 effectively regulate the humidity of air entering the stratosphere, which in turn affects the  
65 radiative budget and stratospheric ozone chemistry [*Dvortsov and Solomon, 2001; Forster and*  
66 *Shine, 2002*].

67 Compared to other cloud types, very few in situ measurements have been made of  
68 tropical subvisible cirrus because of their high altitudes ( $> 15$  km), which necessitate the use of  
69 specialized high-altitude research aircraft. As such, the microphysical and radiative properties of  
70 these clouds are not well constrained. The only known in situ tropical SVC measurements at the

71 time of writing are from the tropical Western Pacific in December 1973 [*Heymsfield*, 1986;  
72 *McFarquhar et al.*, 2000], the Indian Ocean during APE-THEOSO in February and March 1999  
73 [*Luo et al.*, 2003; *Thomas et al.*, 2002], tropical West Africa during the AMMA campaign, and  
74 the tropical Eastern Pacific during CR-AVE in 2006 [*Lawson et al.*, 2008]. The SVC  
75 measurements presented below were taken in the same region as those from CR-AVE, except  
76 that these measurements were taken in August when the tropopause is relatively warm, as  
77 opposed to January and February for CR-AVE when the tropopause was very cold.

78 Overall, the previous in situ measurements of SVC indicate relatively consistent  
79 microphysical properties, with thicknesses  $< 1$  km, ice concentrations  $\sim 10 - 100$  L<sup>-1</sup>, particle  
80 effective radii  $\sim 10 - 20$   $\mu$ m, extinctions  $\sim 10^{-4} - 10^{-3}$  km<sup>-1</sup>, and IWC  $10^{-4} - 10^{-3}$  g m<sup>-3</sup> ( $\sim 0.1 - 1$   
81 ppmv). However, it was found in *Lawson et al.* [2008] that the CR-AVE SVC were comprised  
82 of significantly different ice crystal habits than the W. Pacific SVC. The CR-AVE ice crystals  
83 were primarily quasi-spherical, whereas the W. Pacific SVC contained mostly columnar and  
84 trigonal plates. Furthermore, the CR-AVE SVC were also found to contain crystals larger than  
85 50  $\mu$ m, which did not exist in the W. Pacific data set (habit and particle size measurements  
86 capable of measuring crystals larger than 23  $\mu$ m were unavailable during APE-THEOSO). The  
87 CR-AVE measurements bring up the possibility that geographic, seasonal, and/or long-term (i.e.,  
88 climate change-related) differences in the microphysical properties of SVC exist, and also call  
89 into question some of the formation mechanisms needed for these clouds [*Jensen et al.*, 2008].

90 In this paper, we present a case study involving coordinated in situ and remote-sensing  
91 SVC measurements from the NASA ER-2 and WB-57 aircraft taken during the Tropical  
92 Composition, Clouds, and Climate Coupling (TC4) experiment in August 2007. The subvisible  
93 cirrus data presented in this paper represent a unique set of measurements that allow us to assess

94 the microphysical and radiative properties of this cloud. In the next section, we present the in  
95 situ and remote sensing data from this SVC cloud encounter. The in situ microphysical data are  
96 compared to previous in situ measurements in tropical near-tropopause SVC. Then, the radiative  
97 heating rates and particle mass fluxes are calculated for this cloud and the implications for the  
98 dehydration potential of these clouds is discussed. Finally, aircraft-based lidar data are used to  
99 provide regional statistics of SVC in the equatorial Eastern Pacific during the TC4 campaign.

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## 102 **2. Data**

103

104 The Tropical Composition, Clouds and Climate Coupling (TC4) campaign was based out  
105 of San Jose, Costa Rica during July and August 2007 [*Toon et al.*, this issue]. Three NASA  
106 research aircraft took part in this mission: the NASA DC-8 (low- to mid-troposphere), WB-57  
107 (TTL region), and ER-2 (lower stratosphere). The DC-8 and WB-57 were equipped with in situ  
108 and remote sensing measurements, whereas the ER-2 contained a remote sensing payload that  
109 included the “A-train” simulator instruments such as the MODIS airborne simulator (MAS) and  
110 the Cloud Physics Lidar (CPL) [*McGill et al.*, 2002].

111 Throughout TC4, various combinations of these aircraft flew along coordinated tracks for  
112 the purposes of providing a complete set of measurements of the chemical, microphysical, and  
113 radiative environment. This paper focuses on one such flight from 6 August in which the three  
114 aircraft flew along a NE-SW flight leg from San Jose, Costa Rica towards the Galapagos islands  
115 (see Figure 1).

116 On this day, the ER-2 flew outbound from San Jose, Costa Rica, and a subvisible cirrus  
117 cloud was observed at ~16.5 km from ~12:45 – 13:15 UT in the preliminary CPL data that was  
118 transmitted to the mission operations facility in real time. This allowed the mission scientists to  
119 direct the WB-57 to the SVC layer approximately 45 minutes afterwards, where it performed  
120 several up-and-down “porpoise” maneuvers, ultimately making four passes through the SVC  
121 layer.

122 Measurements used in this study that were made from the WB-57 include water vapor,  
123 cloud microphysical, and meteorological data. Water vapor data used here are from the JPL  
124 Laser Hygrometer [JLH, *May*, 1998] and Harvard Lyman- $\alpha$  photofragment fluorescence  
125 hygrometer [HW, *Weinstock et al.*, 1994]. Cloud microphysical data are from the Cloud Particle  
126 Imager [CPI, *Lawson et al.*, 2001] and 2D-S [*Lawson et al.*, 2006]. The CPI images particles  
127 larger than 10  $\mu\text{m}$  with 2.3  $\mu\text{m}$  pixel resolution, and the 2D-S images particles from 10  $\mu\text{m}$  - 8  
128 mm with 10  $\mu\text{m}$  resolution. Finally, pressure and temperature measurements with an accuracy of  
129  $\pm 0.25$  hPa and  $\pm 0.25$  K, respectively, are provided by the meteorological measurement system  
130 [MMS, *Scott et al.*, 1990].

131 An overview time series plot of the ER-2 CPL and WB-57 microphysical and  
132 meteorological measurements is shown in Figure 2. The top two panels of this figure show the  
133 ER-2 CPL lidar 532 nm attenuated backscatter and extinction retrieval data along the flight  
134 segment AB identified in Figure 1, with the WB-57 altitude overplotted (red) at the closest  
135 (lat/lon) location to the ER-2. The bottom panels show the in situ data from the WB-57 taken  
136 along the same segment approximately an hour after the ER-2. Because the 2D-S probe is  
137 directly sensitive to the particle cross-sectional area distribution, the extinction coefficients  
138 presented here are twice the integrated area distribution (i.e.,  $2\pi r/\lambda \gg 1$  and  $Q_{\text{ext}} = 2$ ). To

139 estimate IWC, a mass-dimensional relationship must be assumed. The mass as a function of area  
140 relationship from Baker and Lawson [2006] is used for particles larger than  $\sim 50 \mu\text{m}$ , whereas  
141 spherical particles are assumed for sizes smaller than  $50 \mu\text{m}$ .

142 As can be seen from Figure 2, the SVC layer has a large horizontal extent, and is  
143 extremely tenuous. The layer is present in the CPL backscatter data from  $\sim 12:40 - 13:15$  UT,  
144 which corresponds to a horizontal distance of over 400 km at the ER-2 speed. As indicated by  
145 the CPL and microphysical measurements aboard the WB-57, this SVC layer was extremely  
146 tenuous, with number concentration, optical extinction, optical depth, and IWC values less than  
147  $10 \text{ L}^{-1}$ ,  $0.01 \text{ km}^{-1}$ ,  $0.004$ , and  $5 \times 10^{-3} \text{ g m}^{-3}$ , respectively. For comparison, the mean values from  
148 CR-AVE reported by *Lawson et al.* [2008] are  $66 \text{ L}^{-1}$ ,  $0.009 \text{ km}^{-1}$ , and  $5.5 \times 10^{-5} \text{ g m}^{-3}$ . It is  
149 worth noting that the TC4 values are below the detection limit for CALIPSO [ $\tau \approx 0.01$ , *Yang et*  
150 *al.*, JGR, in press], indicating that clouds with properties similar to the one discussed here have  
151 been and will be unaccounted for in global satellite-based studies.

152 As shown in Figure 2, the in-cloud water vapor values are in the range of 6 – 10 ppmv,  
153 with relative humidity with respect to ice ( $\text{RH}_i$ )  $\sim 80 - 130\%$ , depending on the instrument used.  
154 In general, the JLH values are  $\sim 100\% \text{ RH}_i$ , whereas the HW values are closer to 120%. For each  
155 instrument, the in-cloud water vapor values span a range of slightly more than 2 ppmv, and the  
156 offset between the two primary water vapor instruments (JLH and HW) is approximately 1  
157 ppmv. Neither instrument observed large  $\text{RH}_i$  (i.e.,  $> \sim 160\%$ ) in these SVC, in contrast with the  
158 CR-AVE measurements where supersaturations of  $\sim 200\%$  were noted inside SVC by the HW  
159 and the Harvard ICOS instruments [*Jensen et al.*, 2008; *Lawson et al.*, 2008]. This difference  
160 may reflect the fact that the TC4 SVC were warmer than during CR-AVE (196-198 K as  
161 opposed to  $< 190$  K for CR-AVE), and there is a well-documented increase in the frequency of

162 observed supersaturation as temperature decreases for temperatures below 200 K [*Gao et al.*,  
163 2004; *Kraemer et al.*, 2009].

164 Figure 3 shows in situ vertical profiles of the 4 passes through the SVC layer. The  
165 temperature profile and IWC/extinction profiles show that the top of the SVC layer lies  
166 immediately below the cold-point tropopause (within  $\sim 0 - 200$  m). That SVC reside near the  
167 cold-point has been recognized by previous studies, but their proximity to the cold-point has not  
168 been illustrated as clearly as in the case presented here. This property is relevant to the  
169 dehydration potential of these types of clouds, and suggests that if irreversible dehydration is  
170 occurring in these clouds, it is likely the last occurrence before the air ascends into the  
171 stratosphere.

172 The vertical profiles also illustrate several salient features of the SVC. First, they are  
173 clearly associated with a region of the atmosphere that is high in relative humidity and of limited  
174 vertical extent. In Figure 3, both the JLH and HW instruments indicate that the SVC reside in a  
175 region of the atmosphere that is at or above supersaturation, with subsaturated regions below  
176 cloud and a limited region of saturation just above cloud. The vertical thickness of the SVC  
177 layer ranges from  $\sim 300 - 800$  m, with an average of 500 m for the four passes. Another  
178 interesting feature of these clouds is that there is a decrease with height of effective particle size  
179 that is commonly observed in ice clouds. This behavior is similar to that observed in *de Reus et*  
180 *al.* [2008], and is expected when the time scale for gravitational size sorting is longer than the  
181 cloud lifetime. Also, both Figures 2 and 3 illustrate that there is considerable horizontal  
182 heterogeneity of the SVC layer, with peak extinctions and  $\tau$  for the various passes spanning  
183 almost an order of magnitude.

184 Finally, CPI imagery from the SVC encounters is shown in Figure 4. These images are  
185 almost entirely quasi-spherical, similar to the CR-AVE CPI data, but different from the complex  
186 columnar and trigonal shapes observed in the Western Pacific by Heymsfield [1986].

187 Because very few particle size distribution measurements have been made in SVC, the  
188 shapes of the size distributions are not well constrained by in situ measurements. Here, we  
189 compare the TC4 SVC case with previous in situ measurements from the Western Pacific in  
190 1973 [FSSP-300 and replicator data, *Heymsfield and McFarquhar*, 1996; *McFarquhar et al.*,  
191 2000], APE-THEOSO in 1999 [FSSP-300, *Thomas et al.*, 2002], CR-AVE in 2006 [2D-S,  
192 *Lawson et al.*, 2008], and the African Monsoon Multidisciplinary Analysis (AMMA) campaign  
193 in 2006. Average SVC size distributions in terms of number concentration from each of these  
194 field studies are plotted in Figure 5 along side an average over the 6 August 2007 TC4 passes.  
195 The general shapes of these size distributions look remarkably similar to one another given the  
196 wide range of geographical locations, seasons, and instruments from which they were taken. The  
197 TC4 size distribution is somewhat of an outlier in this figure, but this is to be expected given the  
198 tenuousness of the SVC layer.

199

### 200 **3. SVC radiative heating rates**

201

202 In this section, the LW and SW radiative heating rates are computed for both clear-sky  
203 and (SVC) cloudy-sky cases, and the cloud radiative effect due to the SVC layer is also  
204 calculated. Results plotted here are from the Rapid Radiative Transfer Model [RRTM, *Mlawer*  
205 *and Clough*, 1997; *Mlawer et al.*, 1997]. Nearly identical results were also found from  
206 Libradtran [*Mayer and Kylling*, 2005] and the Fu-Liou radiative transfer models [*Fu and Liou*,

207 1993]. RRTM uses a correlated-k method for gaseous absorption, the CKD 2.4 water vapor  
208 continuum model [Clough *et al.*, 1989], and cloud ice parameterizations based on an effective  
209 size and water content [Fu *et al.*, 1998; Fu, 1996]. The key model input parameters relevant to  
210 this study are the vertical profiles of atmospheric temperature, ozone, water vapor, and cloud  
211 microphysical properties including the ice water path and a generalized effective diameter for ice  
212 [ $D_{ge}$ , e.g., eqs. 3.11-3.12, Fu, 1996].

213 The vertical profiles input into the model are shown in Figure 6. For temperature, we use  
214 the vertical profile from a Vaisala RS-92 radiosonde launched at 12 UT on 6 August from the  
215 Juan Santamaria Airport in Alajuela, Costa Rica. The vertical temperature profile agrees very  
216 well with the MMS temperature measurements aboard the WB-57 (within 2 K from the surface  
217 to the peak WB-57 altitude), but is used because it extends up to ~10 mb. Above this level until  
218 1 mb, the Microwave Limb Sounder (MLS) temperature profile from the nearest Aura overpass  
219 (within 500 km) at 7 UT is used. Ozone data are provided by an ECC ozonesonde launched  
220 from Alajuela on 7 August 2007 at 6 UT. As with the temperature profile, MLS data are used  
221 above ~ 10 mb.

222 Water vapor are provided by the JLH from 300 mb to the peak WB-57 altitude. Below  
223 300 mb, cryogenic frost-point hygrometer [CFH, Vomel *et al.*, 2007] data are used from the same  
224 payload as the ozonesonde. MLS water vapor data are used for altitudes above the WB-57. It  
225 should be noted that these three instruments agree well in their overlap region on this day.

226 The cloudy-sky radiative heating rates are calculated for each of the four passes through  
227 the SVC layer using the 2D-S microphysical data as input. The cloud microphysical properties  
228 input into the model are IWP (i.e., vertically integrated IWC over the cloud layer) and mean  
229 effective size. The IWP values range from  $8.8 \times 10^{-4} \text{ g m}^{-2}$  to  $1.2 \times 10^{-2} \text{ g m}^{-2}$ , with  $D_{ge}$  from 16

230  $\mu\text{m}$  to  $25 \mu\text{m}$  ( $r_e$  from  $10 \mu\text{m}$  to  $16 \mu\text{m}$ ). The  $\tau_{\text{vis}}$  calculated using the Fu parameterization range  
231 from about  $10^{-4}$  to  $10^{-3}$  over the four passes, which is consistent with the range of  $\tau_{\text{vis}}$  calculated  
232 from both the 2D-S data and retrieved from the CPL lidar backscatter. The mean CPL  $\tau_{\text{vis}}$  ( $\pm 1\sigma$ )  
233 during the entire period shown in Figure 2 is  $6.4 \times 10^{-3}$  ( $\pm 3.3 \times 10^{-3}$ ).

234 The radiative heating rate results are shown in Figure 6. The clear-sky LW, SW, and  
235 total (LW+SW) radiative heating rates are shown for the first and third SVC encounters, as they  
236 represent the optically thinnest and thickest cases, respectively. The SVC layer is very close to  
237 the level of net zero radiative heating, and clouds produce a positive (warming) local  
238 perturbation to the radiative heating rate, mostly due to LW heating. The net perturbations range  
239 from  $0.005 \text{ K day}^{-1}$  to  $0.12 \text{ K day}^{-1}$ . These heating rate values are significantly smaller than the  
240 values presented by *McFarquhar et al.* [2000] and *Comstock et al.* [2002], who calculated  
241 perturbations of  $\sim 0.5 - 2 \text{ K day}^{-1}$  for SVC. However, the  $\tau_{\text{vis}}$  for the clouds considered in these  
242 previous studies were  $\sim 0.004 - 0.02$ , and are significantly larger than those observed on this  
243 flight.

244 Finally, the net TOA cloud radiative effect, defined here as the cloudy minus clear sky  
245 difference in total (SW+LW) upward flux, is calculated. The thinnest three passes through the  
246 layer (passes 1,2,4) produce radiative effects  $< 0.001 \text{ W m}^{-2}$ , which may not be significant given  
247 the numerical accuracy of the model. The radiative effect from the thickest pass (#3) was of  
248  $0.015 \text{ W m}^{-2}$ , with a  $0.154 \text{ W m}^{-2}$  LW effect mostly compensated for by a  $0.139 \text{ W m}^{-2}$  SW effect  
249 in the model. These results are much smaller than previous estimates for SVC [*Comstock et al.*,  
250 2002; *McFarquhar et al.*, 2000], which were  $\sim 1 \text{ W m}^{-2}$ . However, our results are merely  
251 reflective of the thinness of this particular SVC, and should not be construed as being in

252 disagreement with previous work. The RRTM model gives similar values for cloud radiative  
253 effects if one inputs optical depths similar to these previous studies.

254

#### 255 **4. SVC climatology from TC4**

256

257 To address whether the extreme thinness of the SVC layer observed during the 6 August  
258 2007 TC4 flight represents a unique type of cloud or merely the tail end of a smooth distribution  
259 of SVC optical properties, we analyze statistics from the ER-2 CPL for the entire TC4 mission.

260 The ER-2 flew 11 flights based out of San Jose, Costa Rica between July 17 and August  
261 8, 2007. During these flights, the CPL obtained 51 hours of data in the tropics (see Figure 1),  
262 corresponding to approximately 37,000 km of distance. Many of these flights were coordinated  
263 with the DC-8 as it sampled clouds lower in the atmosphere, so in general the ER-2 CPL data in  
264 the mid-troposphere are likely biased towards cloudy conditions at DC-8 altitudes (< 12 km).  
265 However, it is less likely that CPL SVC data are significantly biased in terms of their sampling  
266 of SVC vs. SVC-free air, as the SVC formation mechanisms and persistence are largely  
267 decoupled from lower atmospheric cloudiness.

268 The CPL data used here have been analyzed using a layer detection algorithm that detects  
269 up to 10 layers of aerosol and/or cloud [Hlavka *et al.*, submitted]. The statistics presented here  
270 are from the uppermost cloud layer detected by the CPL. During the TC4 mission, SVC with  
271  $\tau_{532} < 0.03$  and cloud bases above 15 km were present 4% of the time. This value is lower than  
272 the most directly comparable estimate of 29% provided by McFarquhar *et al.* [2000]. The  
273 McFarquhar *et al.* estimate is based on airborne lidar data taken during the Central Equatorial  
274 Pacific Experiment (CEPEX) in February, 1993 near Fiji, and the data were screened for clouds

275 with bases above 15 km. Some difference between the TC4 and CEPEX SVC frequencies is  
276 expected given the seasonal/geographic differences and the regional variations in SVC frequency  
277 indicated by satellite measurements [*Winker and Trepte, 1998*]. Because an optical extinction  
278 retrieval was not used with the CEPEX data, clouds were not explicitly filtered out on the basis  
279 of optical depth as in the TC4 CPL data. However, removing the  $\tau_{532} < 0.03$  requirement from  
280 our estimate only raises the cloud occurrence frequency to 5%. We suspect that the discrepancy  
281 is due to the colder near-tropopause temperatures during CEPEX related to both geographic and  
282 seasonal differences between equatorial Western winter and Eastern Pacific summer. Several  
283 other studies have obtained estimates of ~50% occurrence frequency for optically thin tropical  
284 cirrus [*Nee et al., 1998; Prabhakara et al., 1988; Wang et al., 1994*], but these values are not  
285 directly comparable because the studies did not specifically consider SVC near the tropopause.  
286 These estimates are actually quite similar to the upper tropospheric cloud occurrence frequency  
287 from the CPL during TC4, which was 42% [*Hlavka et al., submitted*].

288 In addition to the frequency statistics, Figure 7 shows histograms of various cloud  
289 parameters retrieved from CPL data during TC4. Figures 7a-c show 2D histograms of SVC ( $\tau <$   
290 0.03) properties such as  $\tau$  and thickness. The median, mean, and standard deviation of optical  
291 depth for SVC (all clouds) with base heights above 15 km is 0.0016 (0.0023), 0.0035 (0.17), and  
292 0.0050 (0.57). Excluding clouds with  $\tau > 0.1$ , which was effectively the value chosen by  
293 *McFarquhar et al. [2000]* in their analysis of tropopause cirrus, the TC4 mean (median) value is  
294 0.0069 (0.0018), compared to their mean value of 0.0045. As evidenced by these numbers and  
295 the distributions in Figure 7, which are plotted on a log abscissa, the distributions of tropopause  
296 cirrus are highly skewed, so caution should be taken when interpreting the radiative implications  
297 of these results.

298 Figure 7 also contains statistics concerning how  $\tau$  and cloud thickness vary with height.  
299 In general, both  $\tau$  and cloud thickness decrease with height. However, because a decrease in  
300 thickness would lead to a decrease in  $\tau$  for a given  $\beta$ , it is possible that merely a thinning of the  
301 cloud layers with increasing height could explain the trend in  $\tau$ . But as shown in Figure 7c, the  
302 cloud-layer mean  $\beta$  (defined as  $\tau/\Delta z$ ) also decreases with height. Thus, the decrease with height  
303 of  $\tau$  is due to both decreases in cloud thickness as well as decreases in extinction. This is not a  
304 surprising result due to the lack of available water for condensation at these temperatures, and  
305 has been qualitatively seen in other studies [e.g., in cirrus IWC, *Schiller et al.*, 2008].

306

307

## 308 **5. Conclusions**

309

310 Subvisible cirrus clouds were observed frequently near the tropopause by the cloud  
311 physics lidar aboard the NASA ER-2 aircraft during the TC4 field campaign. On the 6 August  
312 flight, the CPL indicated an extensive subvisible cirrus deck, and the WB-57 aircraft was  
313 directed to porpoise through the layer, making in situ measurements along the same track flown  
314 by the ER-2 approximately an hour earlier. From these maneuvers, vertical profiles of  
315 temperature, water vapor, and microphysical properties including extinction, IWC, and effective  
316 size were made from aboard the WB-57. These measurements add to the relatively sparse set of  
317 in situ SVC measurements that exist, and are shown to be qualitatively similar to previous  
318 studies. However, there are several points regarding tropopause SVC that have been illustrated  
319 by the TC4 data in a manner more clearly than in previous studies.

320 The TC4 SVC measurements support the idea that a distinct class of subvisible cirrus clouds  
321 exists within a relatively narrow vertical layer just below the tropical cold-point tropopause. The  
322 data clearly show that these clouds are on the order of  $\sim 500$  m thick, with cloud tops  $< 200$  m  
323 below the local cold-point tropopause, and with  $RH_i \geq 100\%$ . Given their proximity to the cold  
324 point and location within a region of net radiative heating, these clouds have the potential to play  
325 a role in dehydration of air as it enters the stratosphere. However, because these measurements  
326 represent a snapshot in time, it is not possible to know whether or not irreversible dehydration  
327 occurred in this specific cloud layer. If irreversible dehydration is occurring in this air parcel as  
328 it ascends through the cold-point, then an upper-bound estimate is given by the IWC of the SVC  
329 cloud, which is at most 0.5 ppmv for the 6 August case.

330 It is also possible that these clouds contribute to a hydration of the stratosphere through their  
331 positive radiative heating perturbations via the mechanism proposed by *Rosenfield et al.* [1998].  
332 It is worth noting that the upper limit of the radiative heating rates presented here ( $\sim 0.1$  K day $^{-1}$ )  
333 is similar to values from *Rosenfield et al.* (0.1 – 0.2 K day $^{-1}$ ) that caused a 1 ppmv increase in  
334 stratospheric water in their model. Thus, although the thinnest of SVC clouds may have a  
335 negligible instantaneous radiative forcing value, their localized LW radiative heating rate  
336 perturbations may still have the potential to affect stratospheric humidity.

337 Finally, the TC4 in situ and CPL SVC data highlight the fact that estimates of the occurrence  
338 frequency of thin tropopause cirrus from satellite-borne instruments such as CALIPSO are likely  
339 to miss a significant fraction of subvisible cirrus clouds. As an example,  $< 10\%$  of ice clouds  
340 detected by CALIPSO have  $\tau < 0.01$  [*Yang and Fu*, in press], whereas in the TC4 CPL data set  
341 the number is 37%. A detailed intercomparison between cirrus statistics from CALIPSO and

342 more sensitive airborne lidars such as the CPL would be a fruitful exercise to better quantify  
343 these differences.

344

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346

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462 ER-2. (Bottom) ER-2 flight tracks for all 11 TC-4 flights based out of San Jose, Costa Rica.

463

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466 & b) ER-2 CPL attenuated backscatter and extinction at 532 nm, (c) in situ temperature and  
467 altitude from the WB-57 MMS (altitude color-coded by 2D-S IWC), (d & e) water vapor and  
468 RH<sub>i</sub> from the JLH and Harvard instruments on the WB-57, (f & g ) visible optical extinction and  
469 ice water content from the 2D-S on the WB-57.

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471 Figure 3. Vertical profiles taken from the four passes made through the subvisible cirrus layer  
472 on 6 August 2007 by the WB-57, and CPL data from the ER-2. Thick solid lines are in-cloud  
473 data, and dotted lines are clear-air data. All profiles are color-coded by the pass number through  
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475 distribution function.

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477 Figure 4. CPI imagery taken during the four SVC passes made by the WB-57 on 6 Aug 2007.

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479 Figure 5. Plot of mean size distributions from tropical near-tropopause subvisible cirrus from  
480 various field campaigns.

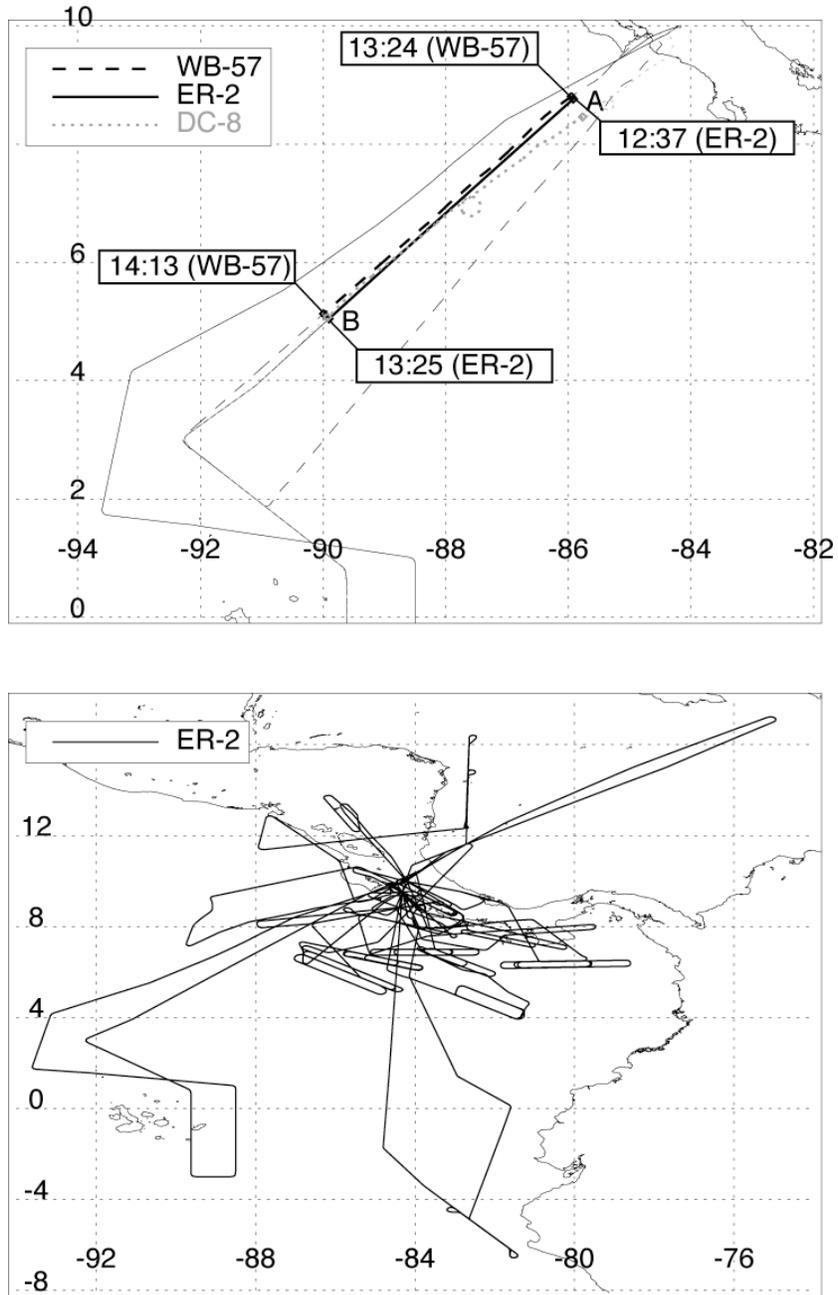
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482 Figure 6. (top) Profiles of temperature, water vapor, ozone, and IWC used for radiative heating  
483 rate calculations for the 6 August 2007 TC4 subvisible cirrus case. (bottom) Calculated LW and  
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485 corresponding to the optically thinnest and thickest passes through SVC during the 6 August  
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488 Figure 7. (a-c) 2D histograms of SVC ( $\tau < 0.03$ ) observed by the CPL during TC4. (d)  
489 Histograms of  $\tau$  for clouds with base-heights above 15 km and  $\tau < 0.1$  from CEPEX and TC4.  
490 The means and standard deviations for these distributions are given on the plot.

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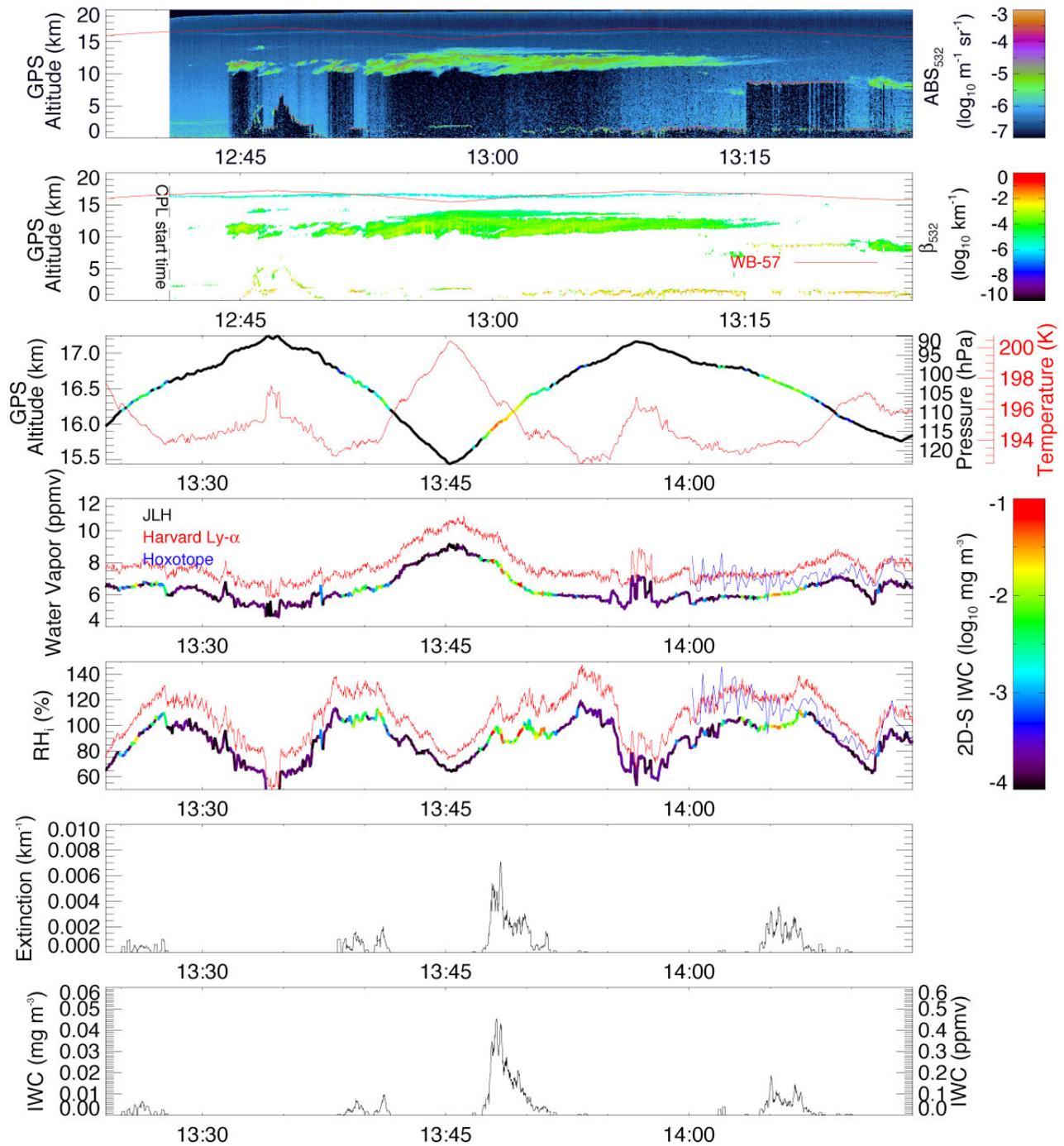
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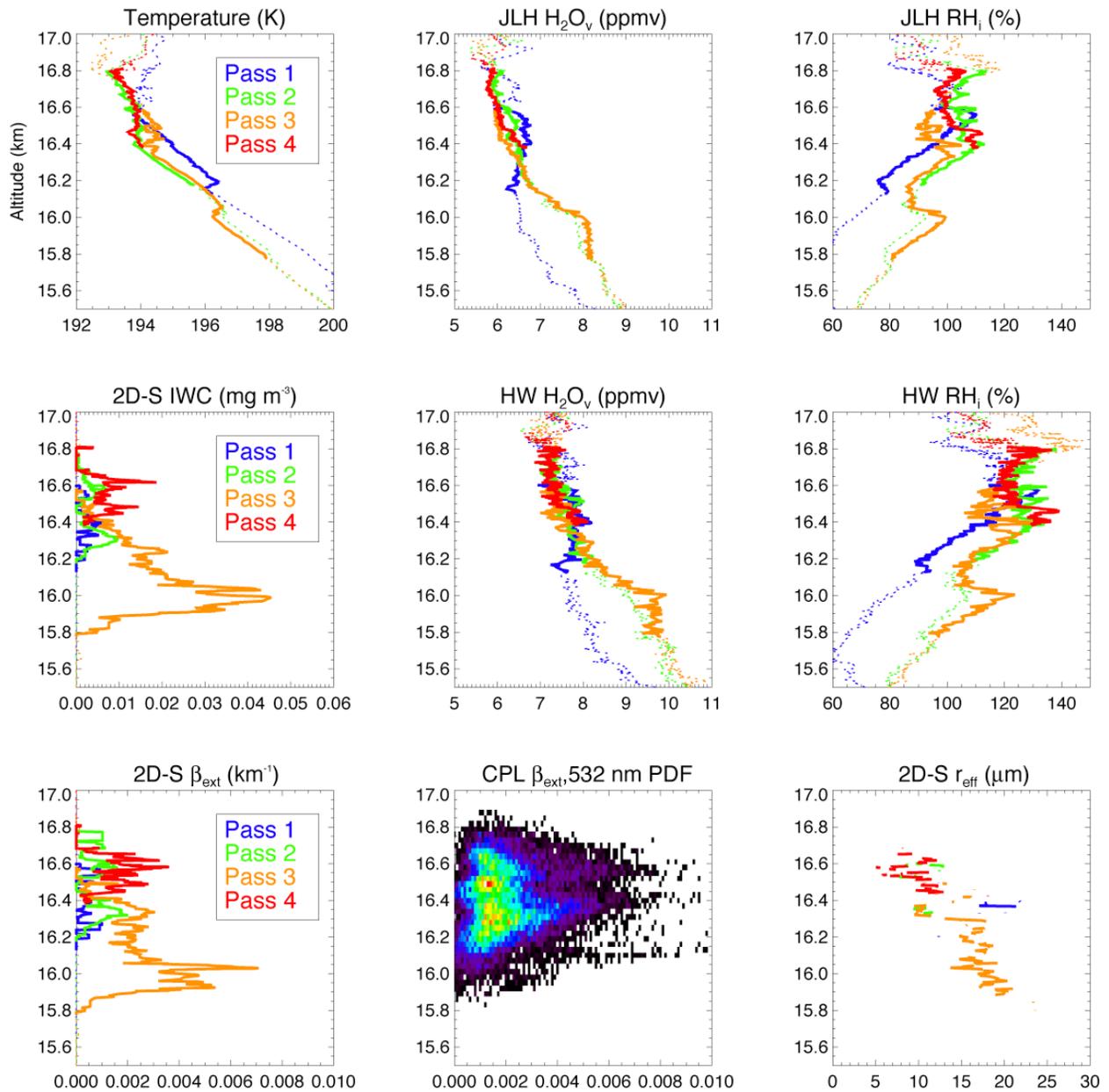
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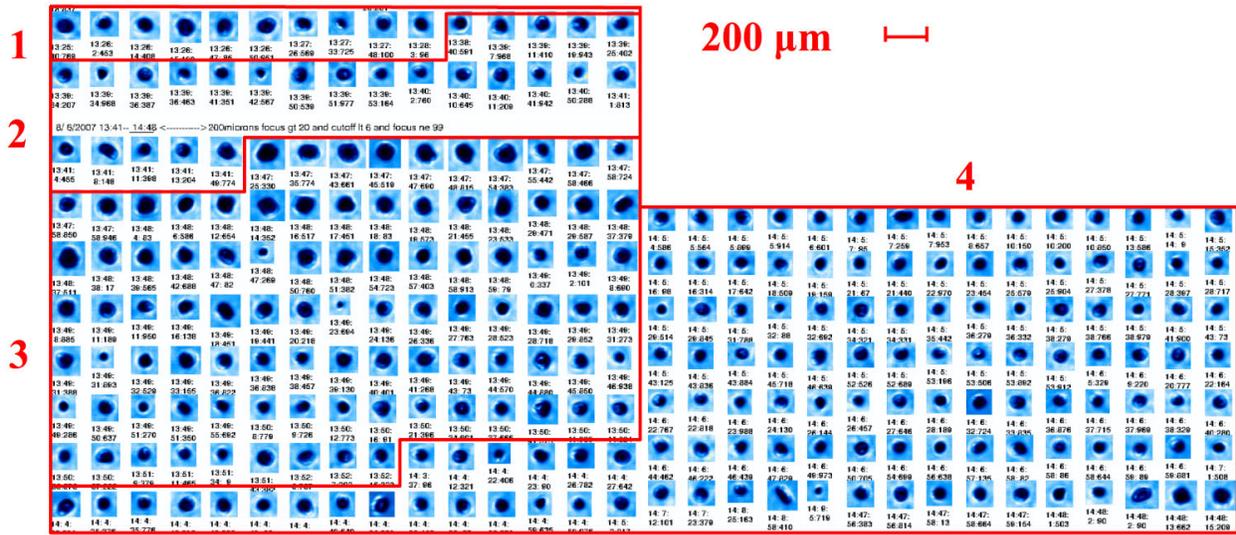


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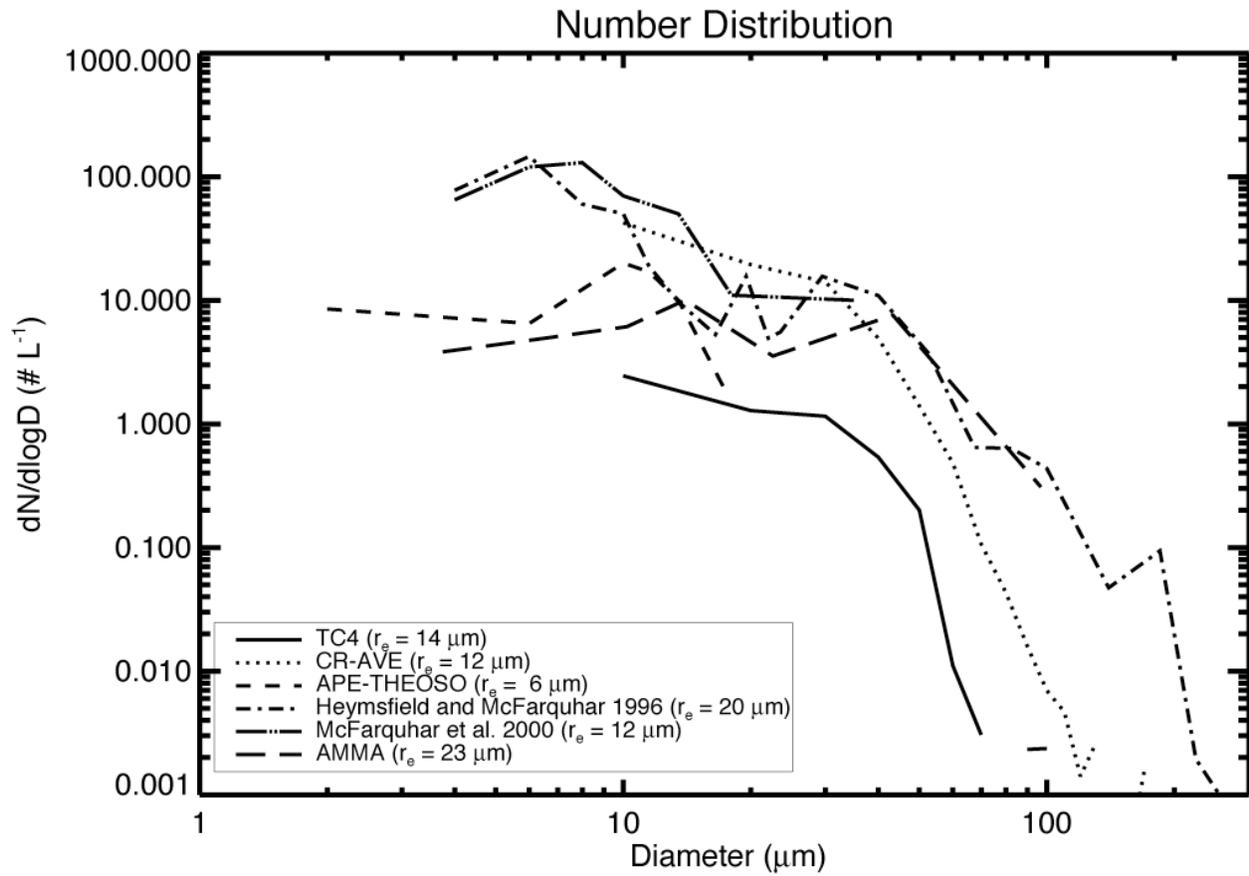
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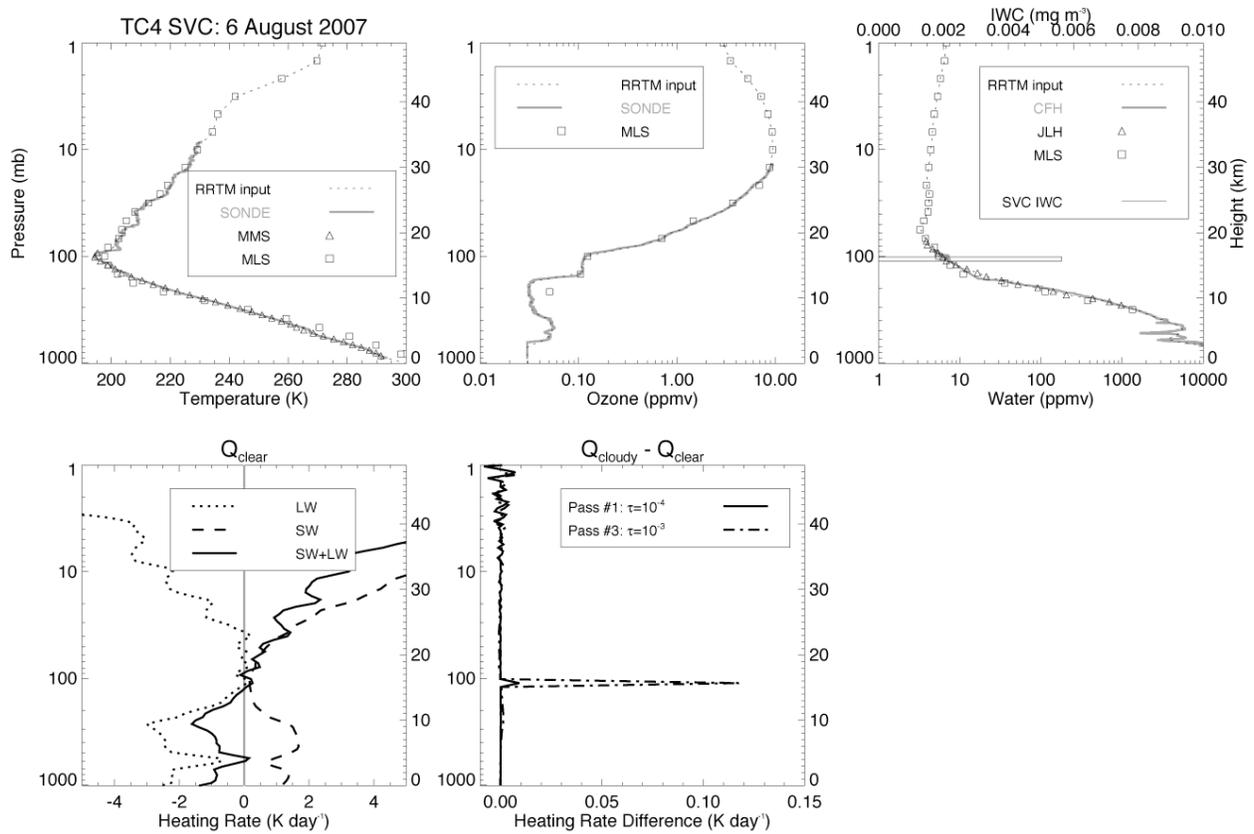
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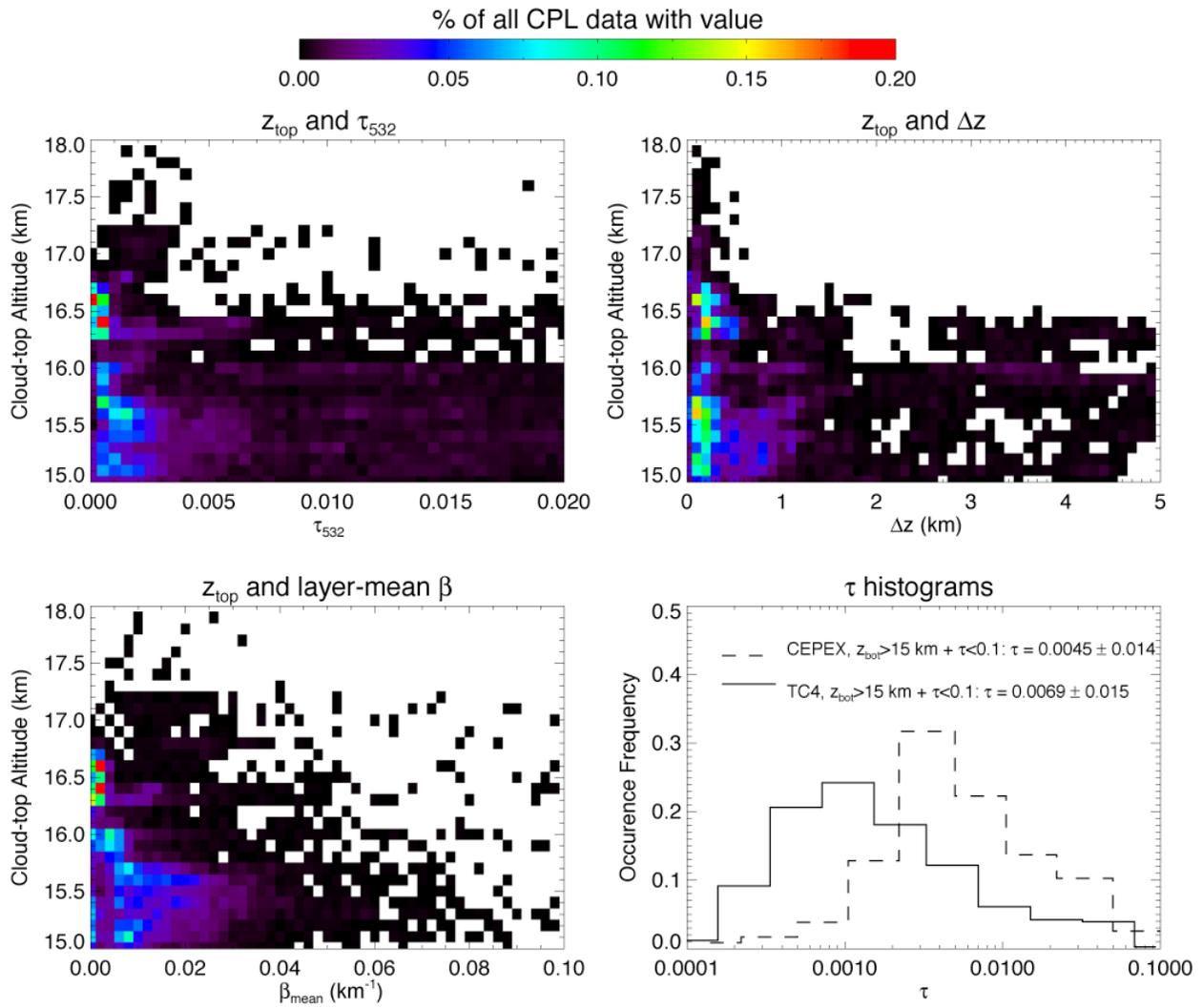
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